

Appendix A

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
1				/2002	/2007	GS0386662 - GS0386665					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
2				/2007	/2009	GS0390849 - GS0390852					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
3				/2010	/2018	GS0386666 - GS0386671					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

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4	████	████	████	██/2004	██/2013	GS0386672 - GS0386676	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
5	████	████	████	██/1997	██/2011	GS0386677 - GS0386681	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
6	████	██	████	██/1998	██/2002	GS0386682 - GS0386685	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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7	████	████	████	██/2010	██/2016	GS0386686 - GS0386691	████████	██	██	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Release provision not in copy of agreement; based on timing of execution and standard form in use, release clause likely to be: Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof.	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	PWA Agreement	██/2009	GS0385526 - GS0385528	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
8	████	████	████	██/2002	██/2008	GS0386692 - GS0386695	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
9	██	████	████	██/2000	██/2016	GS0386696 - GS0386700	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

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10	████	████████	████	████/2011	██/2017	G50390857 - G50390875	████████	██	██████████	██	<p>Any dispute or claim arising out of, based upon or relating in any way to this Agreement or to Allen's employment or other association with Goldman Sachs, or to the termination of Allen's employment, will be settled by confidential arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in New York City, in accordance with the commercial arbitration rules of the AAA, and any party may invoke the AAA's Optional Rules for Emergency Measures of Protection. The parties agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court.</p> <p>Allen is agreeing to arbitrate all claims described above, in accordance with the arbitration procedure set forth therein. This agreement does not include an agreement to arbitrate claims on a collective or class basis. It is explicitly agreed that, to the fullest extent permitted by applicable law, no arbitrator shall have the authority to consider class or collective claims, to order consolidation</p>	<p>Rebecca Allen, for herself and her heirs, executors, administrators, successors and assigns, knowingly and voluntarily waives and releases forever whatever claims, whether known or unknown, she ever had, now has or hereafter may have against The Goldman Sachs Group, Inc., its predecessor or successor entities, including without limitation Goldman, Sachs & Co. (collectively, the "Group"), and each of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of her signature on this Agreement, including but not limited to claims based upon or relating to Allen's hire by the Group, any aspect of the work she performed, her employment relationship with the Group, or the termination of her employment. This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for retaliation of any kind, for breach of any contract or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance, for emotional distress or for attorney's fees, and all claims that were or could have been asserted in the Complaint filed in the Action. Allen agrees not to seek, and hereby waives any right to seek, reemployment with Goldman Sachs.</p>	Yes	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	PWA Agreement	████/2011	G50385538 - G50385542	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
11	████	██	████	██/2010	██/2013	G50386701 - G50386705	██	██████	████████	██	<p>Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)</p>	<p>Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)</p>	Yes	8/2/2011; 6/19/2012	PWA Agreement	██/2010	G50385543 - G50385547	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
12	████	████████	████	████/2007	██/2008	G50391236 - G50391239	██████	██████	████████	██	<p>Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)</p>	<p>Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)</p>	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
13				/2004	/2008	GS0390853 - GS0390856					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
14				/2007	/2008	GS0390876 - GS0390879					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
15				/2004	/2008	GS0390880 - GS0390883					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
16	████	██████	████	██/2005	██/2011	GS0386710 - GS0386714	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
17	████	██████	████	██/2005	██/2011	GS0386715 - GS0386719	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
18	████	████	████	██/1995	██/2018	GS0386720 - GS0386725	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
19	████	██████	██████	████/2006	████/2017	GS0386726 - GS0386731	████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
20	████	██████	██████	██/2000	██/2013	GS0386732 - GS0386741	████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
21	██████	██████	██████	██/2001	██/2011	GS0386742 - GS0386746	████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
22	█	██████	██████	█/2003	█/2008	GS0386747 - GS0386751	██████	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
23	█	██████	██████	█/1996	█/2003	GS0386752 - GS0386755	█	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
24	██████	█	██████	██████/1998	██████/2008	GS0390884 - GS0390887	██████	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
25	████	████	████	██/1996	██/2003	GS0386756 - GS0386759	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
26	████	████	████	██/2000	██/2008	GS0390888 - GS0390895	████████	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
27	████	██████	████	██/2001	██/2014	GS0386760 - GS0386764	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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28	████	████	██████	████/2005	████/2008	GS0390896 - GS0390899	████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
29	████	██████	██████	██/2000	████/2008	GS0391300 - GS0391303	████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
30	████	██████	██████	██/2010	██/2016	GS0386765 - GS0386769	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	PWA Agreement	██/2010	GS0385599 - GS0385601	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

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31	████	████	████	██/2003	██/2005	GS0386770 - GS0386781	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
32	██████	████	████	██/1986	██/2008	GS0390900 - GS0390903	████████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
33	████	████████	████	██/2005	██/2008	GS0390904 - GS0390913	████████	████████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2005	GS0385607 - GS0385609	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
34	████	████	████	██/1992	██/2002	GS0386782 - GS0386785	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
35	████	██████	████	██/1990	██/2008	GS0386786 - GS0386789	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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36	██████	██████	██████	██/1992	██/2008	GS0386790 - GS0386793	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
37	██████	██████	██████	██/1998	██/2007	GS0386794 - GS0386797	██	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
38	██████	██████	██████	██/2006	██/2008	GS0386798 - GS0386806	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
39	████	████	████	██/2006	██/2016	GS0386811 - GS0386816	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	PWA Agreement	██/2006	GS0385615 - GS0385618	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
40	████	████	██████	██/2001	██/2002	GS0390914 - GS0390917	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
41	█	████	██████	██/1987	██/2011	GS0386817 - GS0386821	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
42	████████	██	██████	██/2000	██/2002	GS0388380 - GS0388383	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2000	GS0385619 - GS0385621	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
43	██	██████	██████	██/1979	██/2017	GS0386822 - GS0386833	████████	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated October 17, 2016. (Section 5.1) To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: (Section 5.2) A general release does not extend to claims which the	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
44	██	██████	██████	██/1992	██/2002	GS0386834 - GS0386837	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision	
45	████	████	████	████/1980	████/2008	GS0386848 - GS0386856	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
46	████	████	████	████/1994	████/2008	GS0386857 - GS0386860	████	████	████████	█	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
47	████	████	████	████/2005	████/2008	GS0389999 - GS0390002	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
48	█	█	█	█/1984	█/2005	GS0386861 - GS0386868	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
49	█	█	█	█/2005	█/2005	GS0386869 - GS0386872	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
50	█	█	█	█/2001	█/2017	GS0386879 - GS0386890	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated February 2, 2017. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
51	████	████	████	██/2003	██/2008	GS0386891 - GS0386894	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2003	GS0385641 - GS0385643	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
52	████	██	████	██/2000	██/2014	GS0390933 - GS0390937	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
53	████	████	████	██/1987	██/2009	GS0386903 - GS0386906	██████	██	████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0382617 - GS0382624	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
54	████	████	██████	██/2014	██/2017	GS0390938 - GS0390943	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	12/2/2016				
55	████	██████	██████	██/1994	██/2016	GS0390944 - GS0390955	██████	██████	██████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated February 17, 2016. (Section 5.1)	Yes	9/20/2010; 6/19/2012; 8/2/2011; 1/29/2014; 7/15/2015	Extended Managing Director Agreement	██████	GS0382625 - GS0382633	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
56	██████	██████	██████	██/2000	██/2002	GS0386907 - GS0386910	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
57	████	████	████	██/2004	██/2009	GS0390956 - GS0390959	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
58	████	██	████	██/2004	██/2014	GS0386915 - GS0386919	██████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
59	████	████	████	██/2003	██/2006	GS0386920 - GS0386927	██████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
60	████	████	████	██/1994	██/2014	GS0390960 - GS0390969	██████████	██████████	██████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	Extended Managing Director Agreement	██████████	GS0382659 - GS0382667	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or If the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
61	████	████	████	██/2002	██/2009	GS0386932 - GS0386935	██████████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	No	9/20/2010				
62	████	████	████	██/2000	██/2008	GS0386936 - GS0386939	██████████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
63				/1994	/2005	GS0386940 - GS0386943					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
64				/1985	/2002	GS0386944 - GS0386947					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
65				/1999	/2006	GS0390970 - GS0390973					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or focal law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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66	████	████	████	██/2005	██/2012	GS0388375 - GS0388379	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs. Its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
67	████	████	████	██/2006	██/2013	GS0386956 - GS0386960	██	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
68	████	████	████	██/1998	██/2003	GS0386961 - GS0386964	██	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
69	████	██████	████	██/1999	██/2016	GS0390974 - GS0390979	██████	██████	████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Extended Managing Director Agreement	██████	GS0382735 - GS0382743	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
70	████	██	████	██/1989	██/2006	GS0386968 - GS0386975	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your previous document. (Pages 2-3)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018				
71	████	████████	████	██/2009	██/2013	GS0386976 - GS0386980	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
72	████	████	████	██/1995	██/2008	GS0386981 - GS0386988	████	████	██████	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
73	████	████	████	██/1992	██/2017	GS0390980 - GS0390991	████	████	██████	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated September 21, 2016. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
74	████	████	████	██/1992	██/2002	GS0386989 - GS0386993	████	████	██████	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2000	GS0390818 - GS0390821	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)

Appendix A
Separation Agreements

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75	████	██████	████	██/2005	██/2007	GS0386994 - GS0387001	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your previous document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2005	GS0385702 - GS0385704	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
76	████	████	██████	██/2000	██/2002	GS0387002 - GS0387005	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
77	████	██████	████	██/2006	██/2008	GS0387014 - GS0387021	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
78	████	██████	████	████/2014	████/2017	GS0390992 - GS0390996	████	████	██████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	12/2/2016				
79	████	████	████	████/1997	████/2013	GS0390997 - GS0391001	████	████	██████	████	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	Extended Managing Director Agreement	████	GS0382771 - GS0382779	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
80	████	████	████	████/2006	████/2008	GS0391337 - GS0391340	████	████	██████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████/2006	GS0385710 - GS0385712	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
81	████	█	████	█/2006	█/2008	GS0391002 - GS0391002	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Release provision not in copy of agreement; based on timing of execution and standard form in use, release clause likely to be: Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof.	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	█/2006	GS0385713 - GS0385715	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
82	████	████	████	█/2004	█/2008	GS0387026 - GS0387033	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
83	████	████	████	█/1999	█/2003	GS0387034 - GS0387037	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
84	████	████	████	██/2000	██/2008	GS0387038 - GS0387045	████	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
85	████	████	████	██/1995	██/2011	GS0387046 - GS0387050	██	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
86	█	████	████	██/2005	██/2011	GS0387051 - GS0387055	██	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), and includes all claims that were made or could have been made in the draft document sent by Robert Ottinger, Esq. to Theodore O. Rogers, Jr., Esq. on October 4, 2011. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

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87	█	█	█	█/2001	█/2008	GS0387056 - GS0387059	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
88	█	█	█	█/1988	█/2008	GS0387060 - GS0387068	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
89	█	█	█	█/2010	█/2014	GS0391003 - GS0391007	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract; or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
90	████	████	████	███/1991	███/2003	GS0387069 - GS0387072	██	███	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
91	██	████	████	███/1988	███/2002	GS0387073 - GS0387076	██	███	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
92	████	████	████	███/1976	███/2008	GS0387077 - GS0387084	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
93	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/1996	[REDACTED]/2003	GS0391008 - GS0391011	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
94	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/2000	[REDACTED]/2002	GS0387085 - GS0387092	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
95	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/2000	[REDACTED]/2008	GS0387093 - GS0387096	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, except for executory obligations, arising in the future, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	[REDACTED]/2006	GS0385740 - GS0385742	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
96	████	████	████	██/2002	██/2011	GS0391012 - GS0391016	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
97	████	████████	████	██/2004	██/2008	GS0387101 - GS0387108	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
98	████████	████████	████	██/2000	██/2009	GS0391017 - GS0391020	█	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
99	████	████	████	██/2007	██/2009	GS0391021 - GS0391024	████	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
100	████	██	████	██/1995	██/2003	GS0387109 - GS0387112	██	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
101	██	████	████	██/2005	██/2008	GS0387113 - GS0387116	████	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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102	████	████	██████	██/2011	██/2014	GS0391025 - GS0391029	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014				
103	████	████	██████	██/2012	██/2017	GS0387117 - GS0387122	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015; 12/2/2016				
104	████	██████	██████	██/2003	██/2012	GS0387123 - GS0387127	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
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105	█	█	████	█/2005	█/2008	GS0387128 - GS0387131	████████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
106	████	█	████	█/2008	█/2012	GS0387132 - GS0387135	█	█	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA") or Vt. Stat. Ann. tit. 21, § 495). This release and waiver also includes, without limitation, any claims with respect to participation under the Dwight Asset Management Company LLC Equity Plan or to any payment with respect thereto and any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 4.1)	No	N/A				
107	█	████	████	█/1994	█/2008	GS0391030 - GS0391033	████████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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108	████	████	████	██/1995	██/2005	GS0391034 - GS0391041	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
109	████	████	████	██/2004	██/2014	GS0387136 - GS0387141	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014				
110	████	████	████	██/2004	██/2009	GS0387142 - GS0387145	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
111	████	██████	████	██/1999	██/2002	GS0387146 - GS0387154	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 5)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
112	████	██	████	██/2003	██/2008	GS0391042 - GS0391049	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
113	████	██	████	██/1997	██/2008	GS0391050 - GS0391057	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
114	████	████	██████	██/2005	██/2011	GS0387155 - GS0387160	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
115	████	██████	██████	██/2007	██/2008	GS0391058 - GS0391065	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
116	████	████	██████	██/2008	██/2011	GS0387161 - GS0387165	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
117	████	██████	██████	██/2007	██/2008	GS0391066 - GS0391069	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
118	████	████	██████	██/2014	██/2016	GS0391070 - GS0391080	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				
119	████	██████	██████	██/1999	██/2005	GS0388272 - GS0388275	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
120	████	██████████	██████	██/2005	██/2013	GS0387166 - GS0387170	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present or former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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121				/2007	/2014	GS0391081 - GS0391085					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014				
122				/1992	/2006	GS0388697 - GS0388700					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
123				/2008	/2016	GS0391086 - GS0391091					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
124	████	████	██████	████/1998	████/2004	GS0387177 - GS0387185	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
125	████	████	██████	████/2000	████/2002	GS0387186 - GS0387189	█	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████/2000	GS0385749 - GS0385751	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
126	████	██████	██████	████/2015	████/2016	GS0391092 - GS0391097	██████████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
127	█	█	█	█/2001	█/2012	GS0387190 - GS0387194	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 1/29/2014				
128	█	█	█	█/2004	█/2011	GS0387195 - GS0387199	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
129	█	█	█	█/1986	█/2011	GS0387200 - GS0387204	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
130	████	████	████	████/2004	████/2008	GS0387205 - GS0387219	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADENJ)). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
131	██	██████	████	██/2004	██/2010	GS0390235 - GS0390239	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs)and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2007	GS0385760 - GS0385762	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
132	██	██	████	██/2005	██/2008	GS0387228 - GS0387231	████████████████	████████████████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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133	█	██████	██████	████/1999	██/2011	GS0387232 - GS0387236	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
134	██████	██████████	██████	████/2000	██/2009	GS0391106 - GS0391109	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
135	██████████	██████	██████	██/1999	██/2008	GS0387237 - GS0387240	██████████ ██████████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
136				/2002	/2012	GS0387241 - GS0387245					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination In Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
137				/2007	/2008	GS0387246 - GS0387249					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
138				/2000	/2006	GS0387250 - GS0387253					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (In accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
139	████	██████	██████	████/2006	████/2008	GS0387254 - GS0387261	██████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
140	████	██████	██████	████/2000	████/2002	GS0387262 - GS0387269	██████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
141	████	██████	██████	████/2002	████/2009	GS0391229 - GS0391229	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Release provision not in copy of agreement; based on timing of execution and standard form in use, release clause likely to be: Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional). for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firms equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof.	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
142				/2012	/2016	GS0388634 - GS0388639					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015				
143				/2002	/2008	GS0387270 - GS0387273					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
144				/1978	/2002	GS0387274 - GS0387277					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
145	████	████████	████	██/2007	██/2008	GS0387278 - GS0387281	██████	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
146	████	████████	████	██/2000	█/2008	GS0387282 - GS0387289	██████	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
147	████	████████	████	██/2000	██/2002	GS0391119 - GS0391126	██████	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
148	████████	██	██████	██/2004	██/2011	GS0387290 - GS0387294	██████	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
149	████	██	██████	██/2001	██/2002	GS0387303 - GS0387310	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
150	████	██████	██████	██/2004	██/2013	GS0387311 - GS0387320	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Nothing contained in this Section 5.1 shall in any way constitute a release or waiver by you of any rights that arise after the effective date of this agreement, including any such rights to enforce the terms of this letter agreement dated December 3, 2013. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
151	████	██████	██████	██/2003	██/2006	GS0387321 - GS0387328	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
152	████	████	████	██/2004	██/2016	GS0391127 - GS0391131	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
153	████	████	████	██/2006	██/2017	GS0391132 - GS0391137	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
154	████	████	████	██/2006	██/2008	GS0389531 - GS0389534	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
155	████	██████	████	██/1996	██/2002	GS0387339 - GS0387342	██	███	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
156	████	██	████	██/2004	██/2008	GS0387343 - GS0387354	███	███	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
157	██████	████	████	██/2005	██/2008	GS0387355 - GS0387363	██████	███	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
158	████	██████	████	██/2008	██/2017	GS0391138 - GS0391143	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes				9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	
159	██	████	████	██/2000	██/2008	GS0387364 - GS0387367	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
160	████	████████	████	██/2007	██/2008	GS0387368 - GS0387371	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
161	████	██████	████	██/2009	██/2012	GS0387372 - GS0387376	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011	PWA Agreement	██/2011	GS0385822 - GS0385826	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
162	██	██████	████	██/2006	██/2008	GS0387377 - GS0387384	████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
163	██	██████	████	██/2006	██/2013	GS0387385 - GS0387394	████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits, (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
164	████	██████	████	██/1998	██/2012	GS0391316 - GS0391320	████	██████	██████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	Extended Managing Director Agreement	████	GS0383016 - GS0383024	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
165	████	██	████	██/2005	██/2011	GS0389039 - GS0389043	████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
166	████	██████	████	██/2013	██/2017	GS0391144 - GS0391149	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
167	████	████	████	██/2005	██/2017	GS0391150 - GS0391154	████	████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
168	████████	████	████	██/1980	██/2003	GS0387395 - GS0387398	██	████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
169	████	████	████	██/1996	██/2011	GS0391155 - GS0391159	████	████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
170	████	██████	████	██/2000	██/2007	GS0388578 - GS0388581	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
171	████	████	████	██/2011	██/2015	GS0387414 - GS0387423	████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				
172	████	██████	████	██/1998	██/2006	GS0387424 - GS0387432	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 5)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
173	████	██████	████	██/2003	██/2008	GS0387433 - GS0387436	██████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
174	████	██████	████	██/2001	██/2017	GS0391160 - GS0391164	████	█	██████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Extended Managing Director Agreement	████	GS0383085 - GS0383093	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
175	████	██████	████	██/2004	██/2008	GS0387446 - GS0387449	█	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████/2004	GS0385837 - GS0385839	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
176	█	█	█	█/2000	█/2007	GS0387454 - GS0387458	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities , and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA, claims to enforce the terms of this Agreement and claims to state unemployment benefits). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, except as provided herein. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
177	█	█	█	█/1999	█/2002	GS0387459 - GS0387463	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	█/1999	GS0390826 - GS0390828	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
178	█	█	█	█/2003	█/2016	GS0391165 - GS0391170	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
179	████	██████	████	██/2000	██/2009	GS0387468 - GS0387471	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2006	GS0385845 - GS0385847	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
180	████	████	██████	██/2014	██/2017	GS0391171 - GS0391176	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				
181	████	████	██████	██/2003	██/2008	GS0387472 - GS0387475	██████	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
182	████	██████	████	██/1994	██/2011	GS0387476 - GS0387480	████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010				
183	████	████	████	██/2009	██/2016	GS0391177 - GS0391181	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	PWA Agreement	██/2011	GS0385865 - GS0385869	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
184	██	██████	████	██/2000	██/2003	GS0387481 - GS0387484	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present, and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
185	████	████████	████	████/2009	████/2013	GS0387485 - GS0387489	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
186	████	████	████	████/2008	████/2016	GS0387490 - GS0387495	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any Claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
187	████	████	████	████/2006	████/2008	GS0387496 - GS0387499	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
188	████	██████	████	██/2013	██/2016	GS0387500 - GS0387505	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015				
189	████	██	████	██/2001	██/2003	GS0387506 - GS0387509	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
190	████	██	████	██/1998	██/2003	GS0387510 - GS0387513	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
191	████	████	██████	████/1999	████/2008	GS0387514 - GS0387517	████████████████████	████████████████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
192	████████	████████	██████	████/2007	████/2015	GS0387518 - GS0387522	████	████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	PWA Agreement	████/2007	GS0385889 - GS0385891	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
193	████	████	██████	████/2006	████/2013	GS0389480 - GS0389484	████	████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 6/19/2012				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
194	████	████	██████	██/2000	██/2002	GS0391374 - GS0391382	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of (among other things) the firm's forbearance from terminating your employment with immediate effect and the payments and other benefits offered pursuant to the severance package, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs. Its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship or other association with the firm, or the termination of your employment or other association with the firm (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or focal law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards. and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
195	████	██████	██████	██/2007	██/2008	GS0387523 - GS0387526	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
196	██	██████	██████	██/2002	██/2008	GS0387527 - GS0387530	██	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
197				/2000	/2002	GS0387531 - GS0387538					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
198				/2004	/2015	GS0387539 - GS0387549					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated April 10, 2015. (Section 5.1)	Yes	9/20/2010; 1/29/2014				
199				/1986	/2008	GS0387550 - GS0387559					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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200	████	█	████	██/1991	██/2002	GS0387560 - GS0387563	█	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
201	████	████	████	██/1999	██/2009	GS0387564 - GS0387567	██	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/1999	GS0390829 - GS0390832	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)
202	████	████████	████	██/2007	██/2008	GS0387568 - GS0387571	████	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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203	████	████	████	██/2005	██/2016	GS0387572 - GS0387577	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015					
204	████	████	████	██/2005	██/2008	GS0390498 - GS0390501	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
205	████	████	████	██/1987	██/2007	GS0387578 - GS0387585	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
206				/2005	/2009	GS0387976 - GS0387979					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
207				/2000	/2011	GS0387586 - GS0387596					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
208				/1972	/2002	GS0387601 - GS0387604					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
209	████	████	████	██/2000	██/2002	GS0387611 - GS0387618	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
210	██	████	████	██/2006	██/2013	GS0387619 - GS0387623	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 6/19/2012				
211	████	████	████	██/2007	██/2010	GS0387624 - GS0387628	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
212	████	████	████	██/2005	██/2012	GS0387629 - GS0387633	████	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
213	████	██	████	██/1999	██/2003	GS0387634 - GS0387637	█	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
214	█	████	████	██/2000	██/2004	GS0387638 - GS0387646	██	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
215				/1992	/2002	GS0387647 - GS0387650					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
216				/2000	/2003	GS0387659 - GS0387662					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
217				/2006	/2011	GS0391182 - GS0391186					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
218	████	██████	████	██/2000	██/2009	GS0387663 - GS0387666	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
219	████	████	████	██/1996	██/2002	GS0387667 - GS0387670	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the Retention Letter)), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
220	████	██	████	██/2014	██/2016	GS0387671 - GS0387676	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				

Appendix A
Separation Agreements

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221	█	█	████	█/2014	█/2016	GS0387677 - GS0387682	████	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover with in seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	7/15/2015				
222	████	████	████	█/2001	█/2007	GS0387683 - GS0387690	████	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
223	████	████	████	█/1995	█/2008	GS0387691 - GS0387698	██	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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224	████	██████████		██/2006	██/2008	GS0387711 - GS0387718	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
225	████	████	████	██/2000	██/2002	GS0387719 - GS0387722	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
226	██████	████	████	██/1986	█/2014	GS0391187 - GS0391191	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
227	████	████	██████	██/2004	██/2008	GS0387728 - GS0387735	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
228	████	██████	██████	██/2005	██/2010	GS0387736 - GS0387740	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
229	████	██████	██████	██/1999	██/2006	GS0387741 - GS0387744	██	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
230	████	████	██████	██/1999	██/2002	GS0387745 - GS0387748	██	██████████	██████████	██	Any dispute or claim arising out or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the Retention Letter)], or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
231	████	██████	██████	██/2011	██/2017	GS0387749 - GS0387754	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
232	██████	██	██████	██/2006	██/2008	GS0387755 - GS0387758	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
233	████	████	████	██/2010	██/2015	GS0387759 - GS0387768	████████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the letter dated March 13, 2015. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
234	████	████████	████	██/1995	██/2008	GS0387769 - GS0387776	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
235	████	████	████	██/1989	██/2015	GS0387777 - GS0387781	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
236				/1986	/2002	GS0387787 - GS0387790					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
237				/2000	/2002	GS0387791 - GS0387799					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
238				/2005	/2009	GS0387817 - GS0387820					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
239	████	████	████	██/2004	██/2009	GS0387800 - GS0387807	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
240	██	██████	████	██/2006	██/2008	GS0387808 - GS0387811	████	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
241	████	██	████	██/2004	██/2012	GS0387812 - GS0387816	██████	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
242	████	██	████	██/2007	██/2016	GS0387699 - GS0387710	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	6/19/2012; 1/29/2014; 7/15/2015				
243	████	██	████	██/2001	██/2010	GS0387821 - GS0387825	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	9/20/2010				
244	████	██	████	██/1999	██/2010	GS0387826 - GS0387830	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
245	█	█	█	█/2010	█/2016	GS0387831 - GS0387836	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
246	█	█	█	█/1990	█/2016	GS0387837 - GS0387848	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated February 18, 2016. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
247	█	█	█	█/2015	█/2016	GS0387849 - GS0387853	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
248	████	████	████	██/1994	██/2003	GS0387854 - GS0387857	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities(collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2 - 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
249	████	██	████	██/2000	██/2008	GS0387858 - GS0387865	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
250	████	██	████	██/2006	██/2008	GS0387866 - GS0387869	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2005	GS0385963 - GS0385965	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
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251	████	████	████	██/1990	██/2008	GS0387870 - GS0387873	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
252	████	████	████	██/2002	██/2009	GS0387874 - GS0387877	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
253	████	████	████	██/2003	██/2008	GS0387889 - GS0387897	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
254	■	■	■■■■■	■/2013	■/2016	GS0387904 - GS0387909	■■■■■	■■■■■	■■■■■■■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				
255	■■■■■	■■■■■	■■■■■	■/2007	■/2008	GS0387910 - GS0387913	■■■■■■■	■■■■■	■■■■■■■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	■/2007	GS0385974 - GS0385976	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
256	■■■■■	■■■■■	■■■■■	■/2011	■/2017	GS0387898 - GS0387903	■■■■■	■■■■■■■	■■■■■■■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
257	████	██	████	██/1997	██/2006	GS0387914 - GS0387922	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
258	████	████	████	██/2000	██/2002	GS0387923 - GS0387926	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
259	████	████	████	██/2000	██/2008	GS0387927 - GS0387930	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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260	█	█	█	█/1998	█/2008	GS0387931 - GS0387934	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
261	█	█	█	█/2001	█/2003	GS0387935 - GS0387938	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
262	█	█	█	█/2006	█/2008	GS0387939 - GS0387946	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
263	████	████	████	██/2000	██/2002	GS0387951 - GS0387954	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2000	GS0385987 - GS0385989	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
264	████	████████	████	██/2000	██/2008	GS0387955 - GS0387962	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
265	████	████	████	██/1986	██/2012	GS0387963 - GS0387967	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided as Attachment A to the letter dated December 7, 2011. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
266	████	████████	████	██/1996	██/2002	GS0391255 - GS0391258	██	███	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
267	████	████	████	██/1997	██/2007	GS0387968 - GS0387975	███	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
268	████	████	████	██/2006	██/2008	GS0391214 - GS0391217	███	███	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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269				/2006	/2016	GS0387980 - GS0387985					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
270				/2013	/2015	GS0387986 - GS0387990					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014				
271				/1998	/2002	GS0387991 - GS0387994					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint				Agreement predates filing of Complaint	

Appendix A
Separation Agreements

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272	████	████	████	██/2001	██/2012	GS0387999 - GS0388003	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with an attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke this agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
273	████	████	████	██/1997	██/2005	GS0388004 - GS0388007	██	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
274	████	████	████	██/2002	██/2011	GS0388008 - GS0388013	██████████	██████████	██████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011	Extended Managing Director Agreement	██████████	GS0383532 - GS0383540	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
275	████	██████	████	██/2003	██/2015	GS0388014 - GS0388018	██	██	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
276	████	██	██████	██/1998	██/2006	GS0388019 - GS0388021	██	██	██	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	Your signature below will constitute your agreement that, in consideration of the arrangements set forth herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
277	████	██	██████	██/2004	██/2009	GS0388022 - GS0388025	████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
278	████	████████	████	██/2002	██/2011	GS0388026 - GS0388030	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
279	████	████	████	██/1998	██/2002	GS0388031 - GS0388035	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
280	████	████████	████	██/2009	██/2016	GS0388036 - GS0388040	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
281	████	█	████	█/1996	█/2016	GS0388041 - GS0388046	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover with in seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
282	████	████	████	█/2002	█/2012	GS0388047 - GS0388051	█	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
283	████	████	████	█/1980	█/2005	GS0388052 - GS0388059	█	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated March 16, 2005 and revision dated April 13, 2005. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
284	████	████	████	██/2014	██/2017	G50388082 - G50388087	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachments A to this document. (Section 5.1)	Yes	7/15/2015; 12/2/2016	PWA Agreement	██/2014	G50386023 - G50386027	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
285	████	████	████	██/2000	██/2010	G50388088 - G50388097	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
286	██	████	████	██/2001	██/2008	G50388098 - G50388105	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
287	████	████	██████	████/1986	████/2016	GS0388106 - GS0388110	████	████████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any change to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period or seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015	PWA Agreement	████/2013	GS0386028 - GS0386032	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
288	████	██████	██████	████/1998	████/2008	GS0396145 - GS0396148	████████	██████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits(including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
289	██████	████	██████	████/2001	████/2002	GS0388111 - GS0388118	██████	██████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
290	████	████	████	██/1996	██/2003	GS0388119 - GS0388122	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2000	GS0386033 - GS0386036	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
291	████	████	████	██/2005	██/2007	GS0388123 - GS0388130	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
292	████	████	████	██/2004	██/2017	GS0391223 - GS0391228	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
293				/1999	/2008	GS0388131 - GS0388134					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
294				/2004	/2008	GS0388135 - GS0388142					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
295				/2003	/2008	GS0388143 - GS0388146					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever, whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
296	████	████	██████	██/2006	██/2014	GS0388147 - GS0388151	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014;				
297	████	██████	██████	██/2007	██/2012	GS0388152 - GS0388156	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
298	████	████████	██████	██/2012	██/2016	GS0388195 - GS0388199	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015	PWA Agreement	████/2014	GS0386066 - GS0386070	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))

Appendix A
Separation Agreements

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299	██████	████	██████	████/2002	████/2008	GS0388705 - GS0388712	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you chose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your previous document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
300	██	██	██████	███/1998	███/2016	GS0391230 - GS0391235	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
301	██	██████	██████	███/2000	███/2008	GS0388790 - GS0388797	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
302	█	█	█	█/1985	█/2014	GS0388157 - GS0388161	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
303	█	█	█	█/1995	█/2002	GS0388798 - GS0388801	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
304	█	█	█	█/2008	█/2015	GS0391240 - GS0391244	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or focal law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
305	████	██████	████	██/2000	██/2002	GS0388162 - GS0388169	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
306	████	██████	████	██/2000	██/2009	GS0388174 - GS0388177	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
307	████	██████	████	██/2001	██/2003	GS0391245 - GS0391248	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
308	█	████	████	█/2000	█/2015	GS0388178 - GS0388182	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state, or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
309	█	████	████	█/1998	█/2014	GS0396149 - GS0396166	████	█	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	8/2/2011; 6/19/2012				
310	█	████	████	█/1993	█/2003	GS0388183 - GS0388186	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
311	████	████	████	██/1999	██/2002	GS0388187 - GS0388190	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
312	████	████	████	██/1999	██/2002	GS0388191 - GS0388194	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/1999	GS0386063 - GS0386065	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
313	██	██	████	██/2010	██/2011	GS0388200 - GS0388204	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
314	████	██████	████	██/2012	██/2016	GS0388216 - GS0388220	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015	PWA Agreement	██/2011	GS0386071 - GS0386075	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
315	████	████	████	██/2003	██/2009	GS0388221 - GS0388224	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state, or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
316	████	████	████	██/1986	██/2003	GS0388225 - GS0388228	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
317				/1996	/2016	GS0391249 - GS0391254					Disputes arising out of or relating to this agreement are subject to the provisions of the MD letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for claims of retaliation based on alleged whistleblowing activity pursuant to the Sarbanes-Oxley Act of 2002 or the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 or claims of discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims for attorneys fees or under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider the terms of this release and its consequences, that you have been advised prior to signing this agreement to consult with an attorney and any personal or financial advisor you choose, and that you agree that the changes you have negotiated to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. You acknowledge receiving the required information in an Attachment A that was	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Extended Managing Director Agreement		GS0383668 - GS0383676	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
318				/2014	/2017	GS0388229 - GS0388238					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The requirement a formation is provided in Attachment A to this document. (Section 5.1)	Yes	7/15/2015; 12/2/2016	PWA Agreement	/2014	GS0386079 - GS0386083	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
319				/2003	/2008	GS0389252 - GS0389255				Yes	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
320	████	████	████	██/1979	██/2004	GS0388239 - GS0388242	██	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
321	████	████	████	██/2007	██/2010	GS0388243 - GS0388247	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
322	████	██████████	████	██/1998	██/2003	GS0388248 - GS0388251	██	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/1997	GS0386085 - GS0386085	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
323	████	████	████	██/1998	██/2009	GS0388252 - GS0388255	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
324	████	████	████	██/2006	██/2008	GS0388256 - GS0388259	██	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
325	██	████	████	██/2004	██/2006	GS0388260 - GS0388267	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
326	████	████	██████	████/2006	████/2008	GS0388268 - GS0388271	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
327	████	████	██████	██/1994	████/2011	GS0388276 - GS0388280	██████████	██████████	██████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011	Extended Managing Director Agreement	██████	GS0383707 - GS0383715	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
328	████	████	██████	████/2000	████/2005	GS0388281 - GS0388288	██████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
329				/1997	/2003	GS0388289 - GS0388292					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
330				/2007	/2012	GS0388303 - GS0388307					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
331				/2001	/2008	GS0388308 - GS0388311					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
332	████	████	████	██/2006	██/2018	GS0388317 - GS0388322	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
333	██	██████	████	██/1995	██/2003	GS0388323 - GS0388326	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
334	████	████	████	██/1979	██/2015	GS0388205 - GS0388215	██████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

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335	████	██████	████	████/2008	████/2008	GS0388331 - GS0388334	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
336	████	██████	████	████/2001	████/2002	GS0387295 - GS0387302	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
337	████	██████	████	████/2001	████/2016	GS0388335 - GS0388346	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
338	█	████	████	█/1989	█/2011	GS0388347 - GS0388351	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010				
339	█	████	████	█/2005	█/2008	GS0387651 - GS0387658	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
340	████	████	████	█/2015	█/2018	GS0388361 - GS0388365	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016	PWA Agreement	█/2014	GS0386102 - GS0386106	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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341	████	████	████	██/2002	██/2009	GS0388366 - GS0388369	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
342	██	████	████	██/2010	██/2013	GS0388370 - GS0388374	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
343	████	██████	████	██/2006	██/2011	GS0388384 - GS0388388	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
344	█	█	████	█/1996	█/2004	GS0387442 - GS0387445	█	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
345	████	█	████	█/2000	█/2009	GS0388389 - GS0388392	████	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
346	█	████	████	█/2001	█/2010	GS0389712 - GS0389716	█	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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347	████	██████	██████	██/2006	██/2016	GS0388393 - GS0388398	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015				
348	████	████	██████	██/1991	██/2016	GS0388399 - GS0388404	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
349	████	██	██████	██/1998	██/2017	GS0388405 - GS0388410	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
350	█	█	█	█/1992	█/2004	GS0388411 - GS0388414	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
351	█	█	█	█/1998	█/2004	GS0388415 - GS0388418	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
352	█	█	█	█/2008	█/2011	GS0388419 - GS0388428	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010	PWA Agreement	█/2008	GS0386121 - GS0386123	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
353				/1992	/2008	GS0388429 - GS0388432					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
354				/2001	/2002	GS0388433 - GS0388436					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
355				/2006	/2008	GS0388437 - GS0388444					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of this 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Workers Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
356	████	████	████	██/2005	██/2014	GS0388445 - GS0388449	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. The firm is not currently aware of any facts which might give rise to a claim against you by the firm (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	PWA Agreement	██/2011	GS0386124 - GS0386126	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
357	██	██	████	██/2005	██/2011	GS0388450 - GS0388454	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
358	██	██	████	██/1983	██/2008	GS0388455 - GS0388458	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
359	████	████	████	██/1999	██/2015	GS0388459 - GS0388463	██████	██████	██████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Extended Managing Director Agreement	██████	GS0383866 - GS0383874	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
360	████	██████	████	██/2004	██/2012	GS0388464 - GS0388469	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
361	████	████	████	██/2004	██/2008	GS0388470 - GS0388473	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you make revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
362	████	██████	████	██/2012	██/2013	GS0388474 - GS0388478	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013				
363	██	██	████	██/2000	██/2008	GS0388479 - GS0388486	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
364	██████	██████	████	██/2015	██/2017	GS0388487 - GS0388492	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	12/2/2016				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
365				/2004	/2008	GS0388493 - GS0388496					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
366				/2007	/2009	GS0388497 - GS0388500					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners. managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
367				/2004	/2016	GS0388501 - GS0388506					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
368	████	████	████	██/1981	██/2002	GS0388507 - GS0388510	██	████	██████	██	Any dispute or claim arising out of based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the American Arbitration Association or, at your option, any other organization referred to in the arbitration provisions of any Uniform Application for Securities Industry Registration you have signed. You also agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, arising out of your employment at the firm including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967). Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences and that you have been advised prior to signing this agreement to consult with any attorney or any personal or financial advisor you choose. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. Notwithstanding the foregoing, Goldman Sachs acknowledges and agrees that this release and waiver shall not apply to any claim relating to reimbursements due to you in connection with your final T&E report and/or home office expense submission. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
369	████	████	████	██/1997	██/2010	GS0388511 - GS0388515	████	████	██████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0383893 - GS0383901	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
370	████	██	████	██/2008	██/2017	GS0396230 - GS0396235	████	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including any rights and claims under laws applicable in England and Wales, for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
371	████	████	████	██/2004	██/2006	GS0388516 - GS0388523	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
372	████	████████	████	██/2010	██/2012	GS0388524 - GS0388528	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
373	████	████████	████	██/2007	██/2009	GS0388529 - GS0388532	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional). for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firms equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2007	GS0386132 - GS0386134	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

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374	████	████	████	██/1996	██/2012	GS0388541 - GS0388551	████	████	████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated January 30, 2012. (Section 5.1)	Yes	9/20/2010; 8/2/2011	Extended Managing Director Agreement	████	GS0383902 - GS0383910	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
375	████	████	████	██/1998	██/2002	GS0388560 - GS0388563	██	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/1998	GS0386135 - GS0386138	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
376	█	█	████	█/1984	█/2009	GS0388564 - GS0388567	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
377	████	█	████	█/1999	█/2008	GS0388568 - GS0388577	████	████	████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0383946 - GS0383954	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
378	████	████	████	█/1999	█/2008	GS0389208 - GS0389211	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1837 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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379	████	████	██████	████/1996	████/2003	GS0388586 - GS0388589	████	████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke this agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
380	████	████████	██████	████/2001	████/2006	GS0388590 - GS0388593	████	████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████/2001	GS0386267 - GS0386269	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
381	████	████	██████	████/2006	████/2008	GS0388598 - GS0388601	████	████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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382	████	██████	████	██/2009	██/2016	GS0391259 - GS0391264	████████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes		9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015			
383	████	██████	████	██/1998	██/2004	GS0388612 - GS0388615	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
384	████	██████	████	██/2000	██/2003	GS0388616 - GS0388619	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
385	████	██████	████	██/2008	██/2011	GS0393111 - GS0393115	██████	██	██	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
386	████	██████	████	██/2005	██/2011	GS0388620 - GS0388624	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
387	████	████	██████	██/1998	██/2008	GS0388625 - GS0388633	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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388	████	████	████	██/2003	██/2008	GS0389766 - GS0389769	██████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
389	████	████	████	██/1979	██/2011	GS0388640 - GS0388644	██████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010				
390	█	████	████	██/1997	██/2005	GS0388645 - GS0388652	██████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
391	█	█	████	█/2002	█/2014	GS0388672 - GS0388682	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated April 2, 2014. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
392	█	████	████	█/2001	█/2016	GS0388653 - GS0388658	████	████	████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Extended Managing Director Agreement	████	GS0384023 - GS0384031	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)

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Separation Agreements

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393	█	█	████	█/1998	█/2008	GS0388659 - GS0388662	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint						
394	█	████	████	█/2000	█/2004	GS0388663 - GS0388671	██	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint						
395	████	████████████	████	█/1999	█/2009	GS0388683 - GS0388686	██	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
396	████	████	████	████/2000	████/2014	GS0388687 - GS0388691	████████████████████	████████████████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
397	████	████	████	████/2003	████/2013	GS0388692 - GS0388696	████████████████████	████████████████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity, based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
398	████	████	████	████/2006	████/2009	GS0388299 - GS0388302	████████████████████	████████████████████	████████████████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision	
399	I			/2003	/2008	GS0396204 - GS0396229					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 24)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to the GSGH Agreement. (Pages 21-22)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
400	I			/2000	/2002	GS0388717 - GS0388720					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
401				/2006	/2008	GS0388721 - GS0388724					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
402	█	█	█	█/2010	█/2012	GS0388725 - GS0388729	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	PWA Agreement	█/2010	GS0386163 - GS0386165	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
403	█	█	█	█/1996	█/2002	GS0388730 - GS0388733	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
404	█	█	█	█/2001	█/2012	GS0388734 - GS0388738	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
405	████	████	████	██/1998	██/2008	GS0388739 - GS0388742	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
406	████	████	████	██/2001	██/2016	GS0388743 - GS0388748	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
407	██	████	████	██/2000	██/2002	GS0388754 - GS0388761	██████████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, plus salary payments for unused vacation days in 2002, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
408	■	■	■	■/2000	■/2002	GS0388762 - GS0388765	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
409	■	■	■	■/2005	■/2008	GS0388766 - GS0388773	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
410	■	■	■	■/2011	■/2012	GS0388774 - GS0388777	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 7.5)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 4.1)	Yes	8/2/2011	PWA Agreement	■/2011	GS0386166 - GS0386170	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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411	████	████	████	██/2004	██/2009	GS0389953 - GS0389956	████	██	██	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
412	████	██	████	██/1997	██/2003	GS0388782 - GS0388785	██	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
413	██	████	████	██/1995	██/2002	GS0388582 - GS0388585	██	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
414	████	████████	████	██/2005	██/2013	GS0388749 - GS0388753	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
415	██	████	████	██/2010	██/2016	GS0388802 - GS0388807	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs)and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015				
416	██	████	████	██/1997	██/2008	GS0388808 - GS0388811	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
417				/2000	/2008	GS0388812 - GS0388815					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
418				/2003	/2008	GS0388816 - GS0388819					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
419				/2003	/2009	GS0388820 - GS0388823					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
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420	████	██████	████	██/1986	██/2012	GS0389801 - GS0389811	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated August 15, 2011. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
421	██	██████	████	██/1994	██/2008	GS0388824 - GS0388827	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such award, and any claims you have or might have had additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
422	██████	██████	████	██/1995	██/2002	GS0391273 - GS0391276	██	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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423	████	██████	████	██/2002	██/2016	GS0388828 - GS0388833	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach or any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015					
424	████	██████	████	██/1998	██/2008	GS0388834 - GS0388841	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					
425	████	██████	████	██/1993	██/2004	GS0388842 - GS0388845	██	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint					

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426	████	██████	████	██/2001	██/2006	GS0388846 - GS0388849	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2001	GS0385999 - GS0386001	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
427	████	██████	████	██/1989	██/2002	GS0388850 - GS0388857	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee pension benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
428	████	██████	████	██/2002	██/2008	GS0388858 - GS0388861	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or focal law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
429	████	████	████	██/2001	██/2013	GS0388862 - GS0388866	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Notwithstanding the foregoing, you do not release any future claims which accrue after the effective date of this agreement, including any claims you may have to enforce the terms, conditions and benefits of this agreement (e.g., severance payments, outplacement services, waiver of certain conditions affecting equity awards and benefits and conversion options for benefits during the Severance Period as described in the memo provided to you with this letter). (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
430	████	████	████	██/2012	██/2016	GS0388867 - GS0388871	████	████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015	PWA Agreement	██/2012	GS0386185 - GS0386189	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))
431	████	████████████████	████████	██/2007	██/2017	GS0388872 - GS0388876	██	████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted bylaw, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
432	████	██	████	██/1996	██/2008	GS0388877 - GS0388886	████	████	██████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted bylaw, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0384203 - GS0384211	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
433	████	████	████	██/2004	██/2011	GS0388887 - GS0388891	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
434	████	██	████	██/1984	██/2008	GS0388892 - GS0388899	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
435	██████	██	██████	██/2005	█/2009	GS0388900 - GS0388903	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, tor breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
436	██████	██████	██████	██/2010	██/2014	GS0388904 - GS0388915	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), far wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Nothing in this agreement is intended to eliminate or otherwise diminish the breadth or term of any indemnification rights you would otherwise have in connection with your Goldman Sachs employment (Pages 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
437	██████	██████	██████	██/2002	██/2008	GS0388916 - GS0388923	██████	██████████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
438	████	██████	████	██/2004	██/2016	GS0388293 - GS0388298	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
439	████	████	████	██/2003	██/2009	GS0388930 - GS0388933	████	████	██████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0384280 - GS0384288	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
440	████	██████	████	██/2002	██/2008	GS0388934 - GS0388937	██████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs, and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
441	████	█	████	█/1999	█/2010	GS0388938 - GS0388943	█	█	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	█/1999	GS0386199 - GS0386201	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
442	████	████	████	█/1994	█/2007	GS0388952 - GS0388955	█	█	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
443	████	████	████	█/1980	█/2002	GS0388956 - GS0388963	████	████████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	█/2000	GS0386216 - GS0386218	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
444	████	████	████	██/2004	██/2011	GS0388964 - GS0388968	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
445	████	████	████	██/2000	██/2016	GS0388969 - GS0388974	████	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
446	████	████	████	██/2010	██/2017	GS0388975 - GS0388979	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	PWA Agreement	██/2010	GS0386225 - GS0386229	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
447				2006	2012	GS0388980 - GS0388984					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	PWA Agreement	2006	GS0386230 - GS0386232	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
448				2001	2012	GS0388985 - GS0388994					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
449				2006	2008	GS0389005 - GS0389012					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
450				/2001	/2004	GS0389013 - GS0389016					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
451				2001	/2008	GS0390125 - GS0390128					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
452				2003	/2011	GS0389017 - GS0389021					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
453				1977	/2011	GS0391265 - GS0391272				Yes	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010				
454				/2000	/2002	GS0389027 - GS0389030				Yes	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
455				/2003	/2008	GS0389031 - GS0389038				Yes	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
456	██████	██████	██████	██████ 1998	██████/2008	GS0389044 - GS0389048	██████	██████	██████████	██████	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	██████	GS0384339 - GS0384347	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
457	██████	██████	██████	██████/1996	██████/2009	GS0389049 - GS0389052	██████	██████	██████████	██████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
458	██████	██████	██████	██████ 2005	██████/2008	GS0389057 - GS0389064	██████████	██████	██████████	██████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
459	██████	████	██████	████/2006	████/2008	GS0389065 - GS0389068	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
460	██████	████	██████	████1985	████/2016	GS0389069 - GS0389074	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was previously provided to you in Attachment A under separate cover (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
461	██████	████	██████	████1993	████/2008	GS0390092 - GS0390095	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
462	████	██	████	██/2000	██/2008	GS0389075 - GS0389078	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
463	████	████	████	█/1991	█/2008	GS0389079 - GS0389086	██	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
464	████	████	████	█1998	█2002	GS0389087 - GS0389090	█	██	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
465	████	████	████	██/1995	██/2004	GS0389091 - GS0389094	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Nothing in this agreement is intended to eliminate or otherwise diminish any indemnification rights you would otherwise have in connection with your Goldman Sachs employment. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
466	████	████	████	██/1992	██/2012	GS0389095 - GS0389099	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Notwithstanding the foregoing, this release shall not extend to the following: (a) any claims concerning issues, matters or events occurring and arising after the date of this Agreement; and (b) your instituting an action to enforce the terms of this agreement pursuant to Section 9.1 below. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
467	████	██████	████	██/1993	██/2009	GS0389103 - GS0389106	████████	██	██	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 3)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	████	GS0384381 - GS0384389	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
468				/1998	/2002	GS0389107 - GS0389110					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
469				2000	/2008	GS0389111 - GS0389114					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
470				/2014	/2016	GS0389115 - GS0389119					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
471	████	████	████	████/2001	████/2007	GS0389120 - GS0389127	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████/2006	GS0386258 - GS0386260	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
472	████	█	████	████/2013	████/2017	GS0389128 - GS0389133	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				
473	████	██████	████	████/2001	████/2013	GS0389624 - GS0389628	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
474	████	████	████	██/1987	██/2002	GS0389134 - GS0389141	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
475	████	████	████	██/2000	██/2002	GS0391098 - GS0391105	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA or workers compensation claims). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
476	████	████	████	██/2013	██/2016	GS0389142 - GS0389147	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
477				/1997	/2004	GS0389672 - GS0389675					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
478				/2000	/2009	GS0389148 - GS0389151					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
479				/2007	/2008	GS0389152 - GS0389159					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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480				/2005	/2008	GS0388533 - GS0388540					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Goldman Sachs represents that it is currently aware of no claims against you nor is it currently aware of any facts giving rise to any claim against you. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
481				/1996	/2008	GS0387329 - GS0387338					Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Goldman Sachs is not currently aware of any claims it may have against you. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement		GS0384445 - GS0384453	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
482				/2012	/2017	GS0389168 - GS0389173					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

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483	█	█	████	█/2001	█/2003	GS0389174 - GS0389177	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
484	████	████	████	█/1987	█/2013	GS0389178 - GS0389187	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided Attachment A to your document dated November 20, 2012. (Section 5.1)	Yes	9/20/2010				
485	████	██████	████	█ 2000	█/2004	GS0396167 - GS0396170	█	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or thereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities(collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement).This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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486				/2005	/2008	GS0389188 - GS0389191					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
487				1996	/2008	GS0389192 - GS0389199					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
488				/2006	/2008	GS0389200 - GS0389203					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time for executing the release, which if known by him must have materially affected his settlement with the debtor. In addition, you hereby knowingly and voluntarily waive any protection that may exist under any comparable or similar statutes and principles of common law of any and all states of the United States or of the United States. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
489	████	████	████	██/2000	██/2002	GS0389204 - GS0389207	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
490	██████	████	████	██/2000	██/2002	GS0389212 - GS0389215	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
491	████	██████	████	██/2000	██/2003	GS0391277 - GS0391280	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional rewards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
492	████	████	████	██/1996	██/2002	GS0389216 - GS0389219	█	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
493	████	█	████	██/1993	██/2016	GS0389224 - GS0389235	████	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to your document dated August 26, 2016. (Section 5.1)	No	N/A				
494	████	█	████	██/2001	██ 2016	GS0389236 - GS0389241	████	██	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
495	████	██████	████	██/2003	██/2014	GS0389242 - GS0389246	██████	████	██████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, any predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Extended Managing Director Agreement	██████	GS0384474 - GS0384482	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
496	████	██████	████	██/2000	██/2012	GS0389247 - GS0389251	██████	████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
497	████	██████	████	██/1996	██/2008	GS0389256 - GS0389263	██████████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
498	████	██████	██████	████/1987	████/2008	GS0389264 - GS0389267	██████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
499	██████	██████	██████	██5/1991	██/2011	GS0389268 - GS0389281	██████	██████	██████████	████	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or your separation from employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010	Extended Managing Director Agreement	██████	GS0384530 - GS0384538	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
500	██████	██████	██████	████/2004	██/2006	GS0389282 - GS0389285	████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
501	████	██████	████	██/1996	██/2010	GS0389286 - GS0389289	██████	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), far wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, The Goldman Sachs 1999 Stock Incentive Plan and/or The Goldman Sachs Amended and Restated Stock Incentive Plan (the "SIP"), or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Nothing in this agreement is intended to eliminate or otherwise diminish any indemnification rights you would otherwise have in connection with your Goldman Sachs employment. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 1-2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
502	████	██████	████	██/2006	██/2008	GS0389290 - GS0389293	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time for executing the release, which if known by him must have materially affected his settlement with the debtor. In addition, you hereby knowingly and voluntarily waive any protection that may exist under any comparable or similar statutes and principles of common law of any and all states of the United States or of the United States. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2006	GS0386293 - GS0386295	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
503	████	██	████	██/1998	██/2002	GS0389294 - GS0389297	██	██	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
504	████	████	████	█/1999	█/2007	GS0389298 - GS0389301	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
505	█	████	████	█/2006	█ 2009	GS0389302 - GS0389309	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
506	████	████	████	█/1997	█/2012	GS0389310 - GS0389314	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
507				/2006	/2008	GS0389315 - GS0389322					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
508				/2000	/2008	GS0389323 - GS0389326					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
509				/2000	/2018	GS0391281 - GS0391287					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. By signing this agreement, you are not waiving any claim which by law cannot be waived by private agreement, including any claim for benefits or the right to seek benefits under applicable workers' compensation or unemployment insurance statutes. You are also not waiving any right to enforce this agreement. (Section 4.4)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
510	████	████	████	████ 2000	████ /2014	GS0387723 - GS0387727	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
511	████	████	████	████ /1996	████ /2008	GS0389334 - GS0389341	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
512	█	████	████	████ /2005	████ /2008	GS0389342 - GS0389345	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional). for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
513	████	██	████	██/2000	██/2003	GS0389346 - GS0389349	██	████████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2001	GS0386305 - GS0386307	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
514	████	██	████	██/2008	██/2016	GS0389350 - GS0389355	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) as well as claims under the WARN Acts. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 7/15/2015				
515	██	██████	████	██/1999	██/2008	GS0387022 - GS0387025	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time for executing the release, which if known by him must have materially affected his settlement with the debtor. In addition, you hereby knowingly and voluntarily waive any protection that may exist under any comparable or similar statutes and principles of common law of any and all states of the United States or of the United States. (Pages 2-3)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
516	████	████	████	██ 2005	██/2008	GS0389356 - GS0389359	████	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, M518 whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2005	GS0386582 - GS0386584	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
517	████	████	████	██ /1998	██/2008	GS0389360 - GS0389363	████	████	████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
518	████	████	████	██ /2000	██ /2011	GS0389364 - GS0389374	████	████	████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011	Extended Managing Director Agreement	████	GS0384580 - GS0384588	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
519	████	████	████	████ 1996	████/2002	GS0389375 - GS0389378	██	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
520	████	████	████	██ /1998	██ /2007	GS0388944 - GS0388951	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
521	████████	██	████	██ /1999	██ /2004	GS0389379 - GS0389382	██	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
522	████	██████████		████/2009	████/2011	GS0389383 - GS0389387	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
523	████	████	████	████/1992	████/2007	GS0389388 - GS0389392	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan within the meaning of section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Nothing in this agreement is intended to eliminate any indemnification rights you might have in connection with your employment with the firm. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
524	██████████	██	████	████/2014	████/2017	GS0390209 - GS0390214	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				

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525	████	████	████	████/1998	████/2002	GS0389393 - GS0389396	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
526	████	████	████	████2006	████/2013	GS0389397 - GS0389401	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	PWA Agreement	████/2006	GS0386313 - GS0386315	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision	
527	████	████	████	██/1995	██/2013	GS0389402 - GS0389412	██	██	████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). Except as provided for in Section 4 above, this release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	Extended Managing Director Agreement	████	GS0384605 - GS0384613	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both NYSE and NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	
528	████	████████	████	██/1996	██/2008	GS0389413 - GS0389416	██	██	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time for executing the release, which if known by him must have materially affected his settlement with the debtor. In addition, you hereby knowingly and voluntarily waive any protection that may exist under any comparable or similar statutes and principles of common law of any and all states of the United States or of the United States. (Page 2-3)	Agreement predates filing of Complaint	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018					
529	██████	████	████	██/2000	██/2003	GS0389417 - GS0389420	█	██████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint		Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
530	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/2014	[REDACTED]/2018	GS0389425 - GS0389429	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	To the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				
531	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/1976	[REDACTED]/2013	GS0389430 - GS0389434	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010				
532	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]/1992	[REDACTED]/2018	GS0391294 - GS0391299	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
533	████	████	████	████ 1996	████ /2012	GS0389440 - GS0389443	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Release provision not in copy of agreement; based on timing of execution and standard form in use, release clause likely to be: Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof.	Yes	9/20/2010; 8/2/2011				
534	████	████	████	████ /2013	████ /2016	GS0389450 - GS0389455	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				
535	████	████	████	████ /2015	████ /2017	GS0389456 - GS0389461	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
536	████	████	████	██ 2005	██ /2010	GS0389462 - GS0389466	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010	PWA Agreement	██ 2005	GS0386326 - GS0386328	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
537	████	████	████	██ /1998	██ /2004	GS0389467 - GS0389470	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
538	████	██████	████	██ /1994	██ /2002	GS0389762 - GS0389765	██	██	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
539	█	█	█	█/2015	█/2016	GS0389471 - GS0389475	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities (including Imprint Capital Advisors, LLC ("Imprint")), and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs or Imprint, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the Phantom Unit Plan or the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	No	N/A				
540	█	█	█	█/2006	█/2008	GS0389476 - GS0389479	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
541	█	█	█	█/2000	█/2002	GS0389493 - GS0389500	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
542	████	████	████	██/2005	██ 2011	GS0389501 - GS0389505	██████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
543	████	████	████	██/2000	██/2005	GS0389506 - GS0389509	█	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
544	████	████	████	██/2000	██/2008	GS0389510 - GS0389513	██████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
545				/2000	/2002	GS0389514 - GS0389517					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
546				/1998	2004	GS0387597 - GS0387600					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
547				/2008	/2008	GS0389522 - GS0389525					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	9/20/2010				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
548				2001	2003	GS0389518 - GS0389521					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
549				998	2016	GS0389526 - GS0389530					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	8/2/2011				
550				004	008	GS0389535 - GS0389542					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
551	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 2006	[REDACTED] 2012	GS0389549 - GS0389553	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
552	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 003	[REDACTED] 017	GS0389560 - GS0389565	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
553	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 2004	[REDACTED] 2007	GS0389566 - GS0389569	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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554						G50389570 - G50389575					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 1/29/2014; 7/15/2015; 12/2/2016				
555						G50389576 - G50389580					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
556						G50389581 - G50389588					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement		G50386364 - G50386369	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)

Appendix A
Separation Agreements

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557				004	008	GS0389589 - GS0389592					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	007	GS0386370 - GS0386372	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
558				994	002	GS0389593 - GS0389604					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
559				007	008	GS0389605 - GS0389608					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
560				2000	007	GS0386948 - GS0386955					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
561				2016	017	GS0389609 - GS0389613					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				
562				006	008	GS0389629 - GS0389632					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
563				2004	008	GS0389633 - GS0389640					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
564				002	003	GS0389641 - GS0389644					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
565				2003	008	GS0389645 - GS0389652					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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566				998	016	GS0389653 - GS0389657					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010				
567				005	008	GS0391304 - GS0391307					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
568				006	008	GS0389658 - GS0389665					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
569				9/7/97	10/16/01	GS0389666 - GS0389671					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
570				10/6/06	10/8/08	GS0387995 - GS0387998					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. To ensure that the release contained in the prior paragraph is fully enforced in accordance with its terms, you hereby waive any benefits under, and any protection that you may have by virtue of, Section 1542 of the California Civil Code, which provides: A general release does not extend to claims which the creditor does not know or suspect to exist in his favor at the time for executing the release, which if known by him must have materially affected his settlement with the debtor. In addition, you hereby knowingly and voluntarily waive any protection that may exist under any comparable or similar statutes and principles of common law of any and all states of the United States or of the United States. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
571				10/20/00	10/4/04	GS0389676 - GS0389684					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
572	████	████	████	████2011	████013	GS0389685 - GS0389689	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				
573	████	████	████	████1992	████004	GS0391308 - GS0391311	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
574	████	████	████	████007	████013	GS0389690 - GS0389699	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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575				1989	003	GS0386807 - GS0386810					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release 7and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45- day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
576				2005	008	GS0389700 - GS0389707					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
577				1996	2002	GS0389708 - GS0389711					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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578				1999	003	GS0389717 - GS0389720					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
579				997	004	GS0389721 - GS0389724					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, (i) any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs and (ii) any claims to unvested awards under the 1999 Discretionary RSU Award Agreement and the 1999 Discretionary Option Award Agreement that were conditioned on continued employment. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
580				994	013	GS0389725 - GS0389729					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
581				006	2013	GS0396193 - GS0396203					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012				
582				001	008	GS0389730 - GS0389733					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	Your signature below will constitute your agreement that, in consideration of the benefits provided hereby, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
583				004	017	GS0389734 - GS0389745					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information was provided in Attachment A to the document dated November 17, 2016. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R				
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision				
584				009	2011	GS0389746 - GS0389750					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes					9/20/2010; 8/2/2011				
585				981	2002	GS0389751 - GS0389755					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 3)	Agreement predates filing of Complaint							Agreement predates filing of Complaint		
586				2013	2016	GS0389756 - GS0389761					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes					7/15/2015				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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587				009	2011	GS0387437 - GS0387441					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
588				013	018	GS0389770 - GS0389775					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims for vested benefits to which you are entitled under the terms of any employee pension benefit plan covered by ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), including claims for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), or for any violation of the provisions of Title I of ERISA. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				
589				000	002	GS0387097 - GS0387100					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
590				2008	008	GS0389780 - GS0389783					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
591				995	003	GS0389789 - GS0389792					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
592				004	008	GS0389793 - GS0389796					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
593				990	2006	GS0389797 - GS0389800					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
594				998	008	GS0389812 - GS0389819					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
595				991	002	GS0389820 - GS0389823					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
596				004	012	GS0389824 - GS0389828					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
597				004	008	GS0389829 - GS0389837					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
598				1999	007	GS0389838 - GS0389841					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
599				1996	2003	GS0389842 - GS0389845					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
600				1986	2003	GS0389846 - GS0389853					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
601				2001	2008	GS0389854 - GS0389857					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA).This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
602	████	██████	██████	████ 2001	████ 2002	GS0389858 - GS0389861	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
603	████	██████	██████	████ 1996	████ 2003	GS0391312 - GS0391315	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
604	██████████	████	██████	████ 2000	████ 2003	GS0389862 - GS0389865	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
605	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 1992	[REDACTED] /2003	GS0389866 - GS0389869	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
606	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 1987	[REDACTED] 2002	GS0389870 - GS0389873	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
607	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED] 1998	[REDACTED] 2008	GS0389874 - GS0389877	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
608	████	██	████	████ 2008	████ 2008	GS0389878 - GS0389881	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
609	██████	██████	████	████ 2007	████ 2009	GS0389896 - GS0389903	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
610	██████	████	████	████ 1998	████ 2008	GS0389882 - GS0389890	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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611				2008	2015	GS0389891 - GS0389895					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of "1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 4/9/2013				
612				2007	2014	GS0389904 - GS0389908					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
613				2004	2008	GS0389909 - GS0389913					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 4-5)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
614	████	████	████	████ 2007	████ 2013	GS0389914 - GS0389917	██	██	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before Carol Wittenberg at JAMS. If the matter is not arbitrable before Ms. Wittenberg, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. In the event of a dispute concerning the payment set forth in section 2.3, the arbitrator will have the authority to award attorney's fees to the prevailing party. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 7.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), including all claims that were made or could have been made in the January 24, 2013 letter from Douglas H. Wigdor, Esq. to Ted Rogers, Esq. or in the draft document sent to Mr. Rogers by Mr. Wigdor on March 28, 2013. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Workers Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 3.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
615	██	████	████	████ 1997	██ 2008	GS0391321 - GS0391324	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested fights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
616	██████	████	████	██ 1986	████ 2008	GS0389918 - GS0389921	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
617	██████	██████	██████	████ 2006	████ 2008	GS0389922 - GS0389929	██████	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
618	████	████	██████	████ 1995	████ 2017	GS0389930 - GS0389935	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
619	██████	██████	██████	████ 1997	████ 2008	GS0389936 - GS0389939	██████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████ 1998	GS0386442 - GS0386442	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary.

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
620	████	████	████	██ 2000	██ 2003	GS0389940 - GS0389944	█	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 4-5)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 3-4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██ 2000	GS0386443 - GS0386445	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
621	████	████	████	██ 2007	██ 2009	GS0389945 - GS0389948	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
622	████	████	████	██ 2000	██ 2003	GS0396171 - GS0396174	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
623				2004	2005	GS0389949 - GS0389952					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
624				2006	2008	GS0389957 - GS0389960					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
625				/2010	2011	GS0389961 - GS0389965					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
626	████	██	████	████ 2004	████ 2013	GS0389966 - GS0389970	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, any predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013				
627	████	████	████	████ 2007	████ 2017	GS0389971 - GS0389976	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
628	████	████████	████	██ 2014	██ 2016	GS0389977 - GS0389982	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had that additional awards should have been made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
629	████	██	████	████ 2006	████ 2013	GS0389983 - GS0389986	██	████	████████	██	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 6/19/2012				
630	████	████	████	████ 1997	████ 2002	GS0391290 - GS0391293	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
631	██	████	████	████ 2004	████ 2008	GS0389987 - GS0389994	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
632	████	██	████	██ 1997	██ 2007	GS0387947 - GS0387950	██	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
633	██	██	████	██ 1999	██ 2008	GS0391329 - GS0391336	██████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
634	██	████	████	██ 1999	██ 2009	GS0390003 - GS0390006	████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
635	■	■	■	■ 1992	■ 2012	GS0390007 - GS0390011	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
636	■	■	■	■ 2004	■ 2012	GS0390012 - GS0390016	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for, herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
637	■	■	■	■ 2001	■ 2009	GS0391325 - GS0391328	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
638	████	████████	████	████ 2004	████ 2008	GS0390017 - GS0390020	████████	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
639	████████	████	████	████ 2006	████ 2008	GS0390021 - GS0390024	████████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
640	████	████	████	████ 2000	████ 2012	GS0390029 - GS0390033	██	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
641				1998	2002	GS0390034 - GS0390037					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
642				/2000	2009	GS0391341 - GS0391345					Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD Letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement		GS0385008 - GS0385016	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
643				2000	2002	GS0391346 - GS0391349					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
644	████	██████	████	██/1998	██ 2008	GS0391350 - GS0391353	██████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
645	████	██████	████	██ 2007	██ 2017	GS0391366 - GS0391369	██	██	██████	██	In the event of any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, the parties will first seek to resolve it through good faith negotiation. If such negotiation fails to resolve the dispute, the parties may agree to resolve the matter through mediation. If the dispute is not settled through negotiation or agreed mediation, it will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")) and specifically includes all claims made on your behalf by your counsel, Laurence Moy, Esq., including without limitation the draft document sent by him to counsel for the firm on August 16, 2017 and the letter sent by him on February 21, 2017. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Notwithstanding the foregoing, the firm acknowledges that you are not waiving: (a) the right to enforce this agreement; and (b) rights to COBRA, workers compensation or unemployment insurance. (Section 4.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				
646	████	██████	████	██ 2000	██ 2008	GS0390042 - GS0390045	██████	████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██ 004	GS0386494 - GS0386496	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
647	████	████	██████	████ 1997	████ 2007	GS0390046 - GS0390053	██████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
648	████	████	██████	████ 2001	████ 2002	GS0390054 - GS0390057	████	██████████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
649	██████	██████	██████	████ 2010	████ 2011	GS0390058 - GS0390062	██████	██████████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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650	████	██████	████	████ 2004	████ 2008	GS0390067 - GS0390074	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
651	████	████	████	████ 1998	████ 2008	GS0390075 - GS0390083	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
652	████	████	████	████ 2002	████ 2007	GS0390084 - GS0390091	████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
653	████	████	██████	████ 2009	████ 2015	GS0390096 - GS0390100	████	██████████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
654	████	████	██████	████ 1998	████ 2005	GS0390101 - GS0390104	████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
655	████	████	██████	████ 1997	████ 2002	GS0390105 - GS0390108	████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
656	████	██	██████	████ 2001	████ 2008	GS0390109 - GS0390116	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
657	██████	██	██████	████ 2004	████ 2008	GS0390117 - GS0390124	██████	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
658	██████	██	██████	████ 2002	████ 2008	GS0390129 - GS0390132	██	██████	██████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or focal law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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659	█	█	█	█ 1999	█ 2003	GS0390133 - GS0390136	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
660	█	█	█	█ 2007	█ 2008	GS0390137 - GS0390144	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
661	█	█	█	█ 2003	█ 2008	GS0390145 - GS0390148	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs, and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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662	████	██	████	████ 2005	████ 2011	GS0390149 - GS0390154	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
663	████	██	████	████ 1995	████ 2002	GS0390155 - GS0390158	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
664	████	████	████	████ 1984	████ 2005	GS0390159 - GS0390162	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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665	█	█	█	█ 2007	█ 2011	GS0390163 - GS0390167	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
666	█	█	█	█ 2010	█ 2013	GS0390168 - GS0390172	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012				
667	█	█	█	█ 1995	█ 2003	GS0390173 - GS0390176	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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668	████	██████	████	████ 2004	████ 2011	GS0390177 - GS0390181	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
669	████	████	████	████ 2004	████ 2011	GS0390182 - GS0390186	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
670	████	████	████	████ 2011	████ 2016	GS0390187 - GS0390191	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015	PWA Agreement	████ 2011	GS0386508 - GS0386512	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
671	█	██████	████	█ 2007	█ 2011	GS0390192 - GS0390196	████	████	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
672	████	█	████	█ 2000	█ 2003	GS0390197 - GS0390200	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
673	████	█	████	█ 2000	█ 2003	GS0390201 - GS0390204	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
674	█	█	████	█ 2005	█ 2008	GS0390205 - GS0390208	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
675	█	█	████	█ 2001	█ 2007	GS0390215 - GS0390218	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
676	████	█	████	█ 2011	█ 2016	GS0390219 - GS0390224	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
677	■	■	■	■ 1999	■ 2011	GS0390225 - GS0390229	■	■	■	■	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011	Extended Managing Director Agreement	■	GS0385126 - GS0385134	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
678	■	■	■	■ 2012	■ 2016	GS0390230 - GS0390234	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 7/15/2015				
679	■	■	■	■ 2009	■ 2015	GS0390246 - GS0390250	■	■	■	■	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 6/19/2012; 1/29/2014				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
680	█	█	█	█ 1998	█ 2002	GS0390251 - GS0390254	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
681	█	█	█	█ 2000	█ 2002	GS0390255 - GS0390259	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at SLK or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, which you ever had, now have, or hereafter may have against SLK, Goldman Sachs, their parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by SLK, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights in any employee pension benefit plan, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had in connection with the firm's equity-based award programs including, without limitation, the SIP. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
682	█	█	█	█ 2010	█ 2011	GS0390260 - GS0390264	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 4.1)	Yes	9/20/2010; 8/2/2011				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
683	████	████	██████	████ 2000	████ 2008	GS0390265 - GS0390274	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
684	████	██████	██████	██ 1997	██ 2013	GS0390275 - GS0390279	██████	██████	██████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older-Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	Extended Managing Director Agreement	██████	GS0385203 - GS0385211	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8)
685	████	████	██████	██ 2005	██ 2013	GS0390280 - GS0390284	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
686	████	████	████	████ 1999	████ 2016	GS0390285 - GS0390290	████████████████████	████████████████████	████████████████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Extended Managing Director Agreement	████████	GS0385212 - GS0385223	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8.1)
687	████	██	████	██ 2000	██ 2012	GS0390291 - GS0390295	████████████████████	████████████████████	████████████████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
688	████	████	████	████ 1985	██ 2004	GS0390296 - GS0390299	████████████████████	████████████████████	████████████████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint		Agreement predates filing of Complaint			

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
689				1996	2007	GS0390300 - GS0390307					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
690				2000	2013	GS0390308 - GS0390312					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012				
691				2004	2009	GS0396175 - GS0396178					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
692	████	████	████	████ 2004	████ 2017	GS0390317 - GS0390322	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016				
693	████	██	████	████ 1990	████ 2008	GS0390323 - GS0390326	█	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
694	████	██	████	████ 1998	████ 2008	GS0388170 - GS0388173	████	████	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
695	████	████	████	████ 1999	████ 2002	GS0390327 - GS0390330	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment with the firm (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████ 999	GS0386540 - GS0386542	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
696	██	████	████	████ 1995	████ 2003	GS0390331 - GS0390334	█	██████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
697	██████	██	████	████ 2000	████ 2003	GS0389485 - GS0389492	█	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
698	████	████	████	████ 2000	████ 2016	GS0390335 - GS0390340	████	████	████████	██	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010	Extended Managing Director Agreement	████████	GS0385286 - GS0385295	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8.1)
699	████	████	████	████ 1992	████ 2008	GS0390341 - GS0390348	████	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
700	████	████	████	████ 2007	████ 2015	GS0390354 - GS0390358	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				

Appendix A
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701	████	██████	████	████ 2001	████ 2017	GS0390359 - GS0390364	████	████	████████	█	Disputes arising out of or relating to this agreement are subject to the provisions of the MD Letter with respect to such matters as arbitration, injunctive relief and jurisdiction. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Section 5.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Extended Managing Director Agreement	████	GS0385296 - GS0385307	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as judgment in any appropriate court. (Section 8.1)
702	████	█	████	████ 2005	████ 2011	GS0390365 - GS0390369	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract (including the MD letter), or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010				
703	████	██████	████	████ 2000	████ 2008	GS0390370 - GS0390373	██████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("AOEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
704	████	████	████	████ 2006	████ 2008	GS0390374 - GS0390377	████████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████ 2006	GS0386553 - GS0386555	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
705	████	██	████	████ 2001	████ 2005	GS0390378 - GS0390385	█	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
706	████	████	████	████ 2002	████ 2004	GS0390386 - GS0390389	█	████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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707				2007	2008	GS0390390 - GS0390393					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	1/29/2014; 7/15/2015; 12/2/2016				
708				1985	2002	GS0390394 - GS0390397					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
709				2005	2008	GS0390404 - GS0390407					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
710	████	██████	██████	████ 1997	████ 2015	GS0391370 - GS0391373	████	████	██████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the FINRA. If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 6.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Section 3.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014				
711	████	████	██████	████ 2000	████ 2004	GS0390408 - GS0390411	████	██████	██████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Page 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
712	████	██████	██████	████ 1999	████ 2003	GS0390313 - GS0390316	████	██████	██████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and title national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
713	████	████	████	████ 2000	████ 2007	GS0390412 - GS0390419	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
714	████████	████	████	████ 2000	████ 2003	GS0390420 - GS0390423	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████ 2000	GS0386566 - GS0386568	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
715	████	████	████	█ 2010	█ 2016	GS0390424 - GS0390429	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted bylaw, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
716	████	████	██████	████ 2004	████ 2008	GS0390430 - GS0390433	██	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
717	████	████	██████	████ 2011	████ 2014	GS0390434 - GS0390433	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014				
718	████	██	██████	████ 2010	████ 2016	GS0390444 - GS0390449	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015				

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Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
719	████	████	████	████ 1999	████ 2003	GS0390450 - GS0390452	████	████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
720	████████	████	████	████ 1994	████ 2002	GS0390453 - GS0390460	████	████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of (among other things) the firm's forbearance from terminating your employment with immediate effect and the payments and other benefits offered pursuant to the severance package, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship or other association with the firm, or the termination of your employment or other association with the firm (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
721	████████	████	████	████ 2000	████ 2005	GS0390461 - GS0390468	████	████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
722	████	████	████	████ 2001	████ 2002	GS0390469 - GS0390472	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have had at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
723	████	████	████	████ 2000	████ 2002	GS0390473 - GS0390476	█	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	████ 000	GS0386574 - GS0386576	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)
724	████	████	████	████ 2008	████ 2008	GS0390477 - GS0390480	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
725	████	████	████	██/██/1997	██ 2002	GS0390481 - GS0390484	██	██	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the American Arbitration Association or, at your option, any other organization referred to in the arbitration provisions of any Uniform Application for Securities Industry Registration you have signed. You also agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 3)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. (Page 2)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011				
726	████	████	████	██ 1999	██ 2003	GS0390485 - GS0390488	██	██	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
727	████	██	████	██ 2006	██ 2008	GS0390489 - GS0390492	██████████	██	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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728	████	████	████	████ 2000	████ 2012	GS0390493 - GS0390497	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
729	████	████████	████	████ 2007	████ 2009	GS0389776 - GS0389779	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
730	████	████████	████	████ 1998	████ 2016	GS0390502 - GS0390515	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Severance Agreement	████/2005	GS0390502 - GS0390505	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
731	████	████████	████	████ 2001	████ 2009	GS0390516 - GS0390523	████	████	████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
732	████████	████████	████	████ /2006	████ 2008	GS0390524 - GS0390531	████	████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
733	████	████	████	████ 1997	████ 2017	GS0390532 - GS0390537	████	████	████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional). For wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document, which will be sent under separate cover within seven business days. The letter due date referred to in paragraph 13.1 has been extended to cover this time. (Section 5.1)	Yes	9/20/2010; 7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
734	████	████	████	████ 1988	████/2005	GS0390542 - GS0390545	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
735	████	████████	████	████ 2006	████ 2011	GS0390546 - GS0390550	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010	PWA Agreement	████ 006	GS0386586 - GS0386589	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
736	████	████	████	████ 1998	████/2004	GS0390560 - GS0390563	██	████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
737				2000	2009	GS0390564 - GS0390567					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
738				1999	2002	GS0390568 - GS0390571					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
739				2001	2003	GS0390578 - GS0390581					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
740				2004	2009	GS0390582 - GS0390589					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
741				2009	2017	GS0390590 - GS0390594					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	12/2/2016				
742				1991	/2005	GS0390595 - GS0390598					Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the Group, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (In accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its predecessor or successor entities (collectively, the "Group"), and any of its or their present and former partners, managing directors, employees, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
743	████	████	██████	████ 2005	████ 2008	GS0390599 - GS0390602	██████████	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local Law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
744	████	████	██████	████ 2001	████ 2002	GS0390603 - GS0390606	█	██████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatsoever claims whether known or unknown, you ever had, now have or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of the predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"), except that you do not waive ADEA rights or claims that may arise after the date of this agreement). This release and waiver also includes, without limitation, any claims you have or might have had concerning the deliver of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you had or might have had that additional awards should have been made to you under such programs. Your signature below also will constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45 day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
745	████	████████	██████	████ 2013	████ 2017	GS0390607 - GS0390612	██████	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	7/15/2015; 12/2/2016				

Appendix A
Separation Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
746	█	█	████	█ 2011	█ 2016	GS0390613 - GS0390618	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015				
747	████	█	████	█ 2007	█ 2008	GS0390619 - GS0390622	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	█007	GS0386631 - GS0386633	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
748	████	█	████	█ 2009	█ 2011	GS0390623 - GS0390627	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any award made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to and payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 12/2/2016; 5/31/2018				

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
749	████	██████████	██████	████ 2005	████ 2008	GS0390628 - GS0390635	██████████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
750	████	██████████	██████	████ 2003	████ 2012	GS0390636 - GS0390640	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
751	██	██	██████████	████ 2007	████ 2016	GS0390641 - GS0390646	██████	██████	██████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015				

Appendix A
Separation Agreements

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752	█	██████	████	█ 2006	█ 2008	GS0390647 - GS0390650	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
753	█	██████████████		█ 2006	█ 2008	GS0390651 - GS0390654	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
754	█	█	██████	█ 2007	█ 2008	GS0390655 - GS0390658	██████	████	██████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
755	█	█	█	█ 2000	█ 2002	GS0390659 - GS0390662	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgement that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights, if any, as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
756	█	█	█	█ 2010	█ 2014	GS0390663 - GS0390667	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs)and any tax consequences thereof. (Section 4.1)	Yes	9/20/2010				
757	█	█	█	█ 2004	█ 2008	GS0390668 - GS0390671	█	█	█	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law (including but not limited to the New Jersey Conscientious Employee Protection Act (CEPA)) and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
758	████	████	██████	████ 2005	████ 2007	GS0390672 - GS0390679	██████	████████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
759	████	████	██████	████ 2000	████ 2009	GS0390680 - GS0390683	████████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
760	████	████	██████	████ 2010	████ 2012	GS0390684 - GS0390688	██████	██████	████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011	PWA Agreement	████ 2010	GS0386652 - GS0386654	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
761	████	████	██████	████ 2000	████ 2002	GS0390689 - GS0390692	████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights as a former employee under any employee benefit plan in which you were a participant while employed). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
762	████	██	██████	████ 2015	████ 2016	GS0390693 - GS0390698	██████████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), as well as claims under the WARN Acts (as defined below in section 11.3). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	No	N/A				
763	████	██	██████	████ 2003	████ 2008	GS0390699 - GS0390706	██████	██████	██████████	████	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
764	████	██	██████	████ 1996	████ 2008	GS0390707 - GS0390710	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
765	████	████	██████	████ 2004	████ 2009	GS0388602 - GS0388605	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Pages 3-4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA"). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
766	████	██████	██████	████ 1994	████ 2007	GS0390711 - GS0390718	████████████████████	████████████████████	████████████████████	██	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	Your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 45 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 45-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. The Older Worker Benefit Protection Act requires that the firm provide you with certain information concerning the employees being offered similar separation agreements. The required information is provided in Attachment A to this document. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
767	████	████	████	████ 2003	████ 2012	GS0390719 - GS0390722	█	█	██████████ ██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")), including all claims that were made or could have been made in the June 28, 2012 letter from Douglas H. Wigdor, Esq. to Ted Rogers, Esq. or in the draft document sent to Mr. Rogers by Mr. Wigdor on August 7, 2012. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				
768	█	████	████	████ 1997	████ 2003	GS0386928 - GS0386931	█	██████████	██████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	In addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had, now have, or hereafter may have against Goldman Sachs, its parents, subsidiaries or affiliates, or any of their predecessor or successor entities (collectively, the "Group") and any of its or their present and former partners, managing directors, shareholders, employees, agents, directors, and officers, through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed or your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance. This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. (Pages 2-3)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				
769	█	████	████	████ 1998	████ 2003	GS0389100 - GS0389102	████	█	████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the New York Stock Exchange, Inc., or, if the matter is not arbitrable before the New York Stock Exchange, Inc., the National Association of Securities Dealers. If both the New York Stock Exchange, Inc. and the National Association of Securities Dealers decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") (in accordance with the commercial arbitration rules of the AAA). You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Page 4)	in addition, the firm requires your acknowledgment that you have no remaining, unresolved claims with respect to your employment. Consequently, your signature below will constitute your agreement that, in consideration of the payments and benefits provided, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes but is not limited to any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs. Your signature below will also constitute confirmation that you have been given at least 21 days within which to consider this release and its consequences, that you have been advised prior to signing this agreement to consult with any attorney and any personal or financial advisor you choose, and that any changes to this agreement (irrespective of materiality) did not restart the running of the 21-day period. For a period of seven days following the execution of this agreement, you may revoke the agreement, and the agreement shall not become effective or enforceable until the revocation period has expired. (Page 2)	Agreement predates filing of Complaint	Agreement predates filing of Complaint				

Appendix A
Separation Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Bates	Notice Period with Salary (End Date)	Severance Payment Period with Salary (End Date)	Other Consideration (Lump Sum Amount, Outplacement, etc)	Waiver of Equity Forfeiture Conditions?	Operative Agreement Arbitration Provision	Operative Agreement Release Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
770	█	█	████	█ 2010	█ 2011	GS0390723 - GS0390728	████	████	████████	█	Any dispute or claim arising out of or based upon or relating in any way to this agreement, or to your employment or other association with the firm, or the termination of your employment, will be settled by arbitration. Any such arbitration will be conducted before the Financial Industry Regulatory Authority ("FINRA"). If the matter is not arbitrable before FINRA, it will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 9.1)	Your signature below will constitute your agreement that, in consideration of the payments and other arrangements provided for herein, you knowingly and voluntarily waive and release forever whatever claims, whether known or unknown, you ever had or now have against Goldman Sachs, its predecessor or successor entities, and any of its or their present and former partners, managing directors, employees, directors, officers, attorneys, representatives and agents, based upon any matter, cause or thing occurring through the date of your signature on this agreement, including but not limited to claims based upon or relating to your hire by Goldman Sachs, any aspect of the work you performed, your employment relationship with the firm, or the termination of your employment (other than claims you may have based upon your vested rights under any employee pension benefit plan under section 3(2) of ERISA). This release and waiver includes, without limitation and to the greatest extent permitted by law, any rights or claims under United States federal, state or local law and the national or local law of any foreign country (statutory or decisional), for wrongful or abusive discharge, for breach of any contract, or for discrimination based upon race, color, ethnicity, sex, age, national origin, religion, disability, sexual orientation, or any other unlawful criterion or circumstance (including rights or claims under the Age Discrimination in Employment Act of 1967 ("ADEA")). This release and waiver also includes, without limitation, any claims you have or might have had concerning the delivery of any awards made to you under the firm's equity-based award programs including, without limitation, the SIP, or the amount or other terms or conditions of any such awards, and any claims you have or might have had that additional awards should have been made to you under such programs, as well as any claims relating to any payments or benefits (including, without limitation, those provided for herein and under such programs) and any tax consequences thereof. (Section 5.1)	Yes	9/20/2010; 8/2/2011				

Appendix B

Appendix B

MD Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
102	████	████	████	██/1995	████	Extended Managing Director Agreement	No	GS0383955 - GS0383964	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	PWA Agreement	██/1998	GS0393144 - GS0393146	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)
155	██	████	████	██/1985	████	Extended Managing Director Agreement	No	GS0384795 - GS0384802	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/1999	GS0386405 - GS0386407	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief covering the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third part beneficiary. (Section 10)
159	████	████	████	██ 1994	████	Extended Managing Director Agreement	No	GS0384832 - GS0384840	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	PWA Agreement	██/2000	GS0386419 - GS0386421	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief covering the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third part beneficiary. (Section 10)
24	████	████	████	██/1997	████	Extended Managing Director Agreement	No	GS0382744 - GS0382752	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	PWA Agreement	██ 2000	GS0385677 - GS0385680	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)

Appendix B

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10	██████	██	██████	██/1993	██████	Participating Managing Director Agreement	No	GS0382496 - GS0382504	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	██████	GS0382480 - GS0382495	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
52	██████	██████████	██████	██1989	██████	Participating Managing Director Agreement	No	GS0383168 - GS0383176	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	██████	GS0383152 - GS0383167	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
115	██████	██████	██████	██/1993	██████6	Participating Managing Director Agreement	No	GS0384174 - GS0384182	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Extended Managing Director Agreement	██████	GS0384167 - GS0384173	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
134	██	██████	██████	██1994	██████	Extended Managing Director Agreement	No	GS0384546 - GS0384558	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	██████	GS0384539 - GS0384545	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
174	██████	██████	██████	████ 1992	██████	Participating Managing Director Agreement	No	GS0385064 - GS0385072	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	██████	GS0385057 - GS0385063	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
59	██████	██████	██████	████ 1998	██████	Extended Managing Director Agreement	No	GS0383294 - GS0383303	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	PWA Agreement	██████/2003	GS0385772 - GS0385774	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
149	██████	██████	██████	████ /1998	██████	Extended Managing Director Agreement	No	GS0384696 - GS0384705	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	PWA Agreement	██████ 2003	GS0386373 - GS0386375	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third part beneficiary. (Section 11)
6	██████	██████	██████	████ /2000	██████	Extended Managing Director Agreement	No	GS0382435 - GS0382443	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	PWA Agreement	██████/2003	GS0385579 - GS0385581	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
60	████	██████	██████	████ 1999	██████	Participating Managing Director Agreement	No	GS0383312 - GS0383320	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	██████	GS0383304 - GS0383311	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
166	████	██████	██████	████ 1997	██████	Participating Managing Director Agreement	No	GS0384946 - GS0384954	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Extended Managing Director Agreement	██████	GS0384938 - GS0384945	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
16	████	██████	██████	████ /2002	██████	Participating Managing Director Agreement	No	GS0382587 - GS0382595	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	8/2/2011	Extended Managing Director Agreement	██████	GS0382578 - GS0382586	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
32	████	██████	██████	████ /2005	██████	Extended Managing Director Agreement	No	GS0382901 - GS0382910	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	PWA Agreement	████ 2005	GS0385788 - GS0385790	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)

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42	██████	██████	██████	████/1998	██████	Participating Managing Director Agreement	No	GS0383043 - GS0383051	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011	Extended Managing Director Agreement	██████	GS0383034 - GS0383042	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
65	██	██████	██████	██/2003	██████	Participating Managing Director Agreement	No	GS0383387 - GS0383395	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Extended Managing Director Agreement	██████	GS0383378 - GS0383386	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
110	████	██████	██████	██/2002	██████	Participating Managing Director Agreement	No	GS0384103 - GS0384111	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	██████	GS0384094 - GS0384102	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
179	██████	██████	██████	██/1993	██████	Participating Managing Director Agreement	No	GS0385162 - GS0385173	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	No	6/19/2012; 4/9/2013; 1/29/2014	Extended Managing Director Agreement	██████	GS0385153 - GS0385161	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)
104	██	██████	██████	██/2002	██████	Extended Managing Director Agreement	No	GS0383985 - GS0384013	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Extended Managing Director Agreement	██████	GS0383976 - GS0383984	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)

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34	████	████	████	██/1999	████	Participating Managing Director Agreement	No	GS0382929 - GS0382938	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0382920 - GS0382928	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
92	██	████	████	██/1998	████	Participating Managing Director Agreement	No	GS0383766 - GS0383775	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0383757 - GS0383765	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
178	████	████	████	██/1997	████	Participating Managing Director Agreement	No	GS0385113 - GS0385125	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0385104 - GS0385112	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
132	████	████	████	██/2006	████	Participating Managing Director Agreement	No	GS0384511 - GS0384520	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0384502 - GS0384510	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
171	██	████	████	██/1998	████	Participating Managing Director Agreement	No	GS0385017 - GS0385026	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0390805 - GS0390813	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)

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113	████	███	████	███ 1999	████	Participating Managing Director Agreement	No	GS0384144 - GS0384156	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0384135 - GS0384143	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
1	████	███	████	███ 2009	████	Extended Managing Director Agreement	No	GS0382382 - GS0382392	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	PWA Agreement	███ 2010	GS0385535 - GS0385537	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)
165	███	████	████	███/2004	████	Participating Managing Director Agreement	No	GS0384907 - GS0384919	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0384898 - GS0384906	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)
36	███	████	████	███/2003	████	Participating Managing Director Agreement	No	GS0382958 - GS0382970	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0382948 - GS0382957	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)
143	████	████	████	███/2004	████	Participating Managing Director Agreement	No	GS0384683 - GS0384695	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Extended Managing Director Agreement	████	GS0384673 - GS0384682	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)

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2	████	████	████	██/1993	████	Extended Managing Director Agreement	No	GS0382403 - GS0382409	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
3	████	███	████	██ 2002	████	Extended Managing Director Agreement	No	GS0383496 - GS0383505	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	N/A			
4	███	██████	████	██ 1997	████	Extended Managing Director Agreement	No	GS0382410 - GS0382425	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA ") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012	N/A			
5	███	███	████	██/1997	████	Extended Managing Director Agreement	No	GS0382426 - GS0382434	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010	N/A			
7	███	████	████	██ 2007	████	Extended Managing Director Agreement	No	GS0382444 - GS0382453	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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8	█	█	█	█/1992	█	Extended Managing Director Agreement	No	GS0382454 - GS0382460	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
9	█	█	█	█/2002	█	Extended Managing Director Agreement	No	GS0382470 - GS0382479	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	N/A			
11	█	█	█	█/2003	█	Extended Managing Director Agreement	No	GS0382505 - GS0382514	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
12	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0382533 - GS0382541	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	N/A			
13	█	█	█	█/1981	█	Extended Managing Director Agreement	No	GS0382542 - GS0382548	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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14	████	████	██████	████/2000	██████	Extended Managing Director Agreement	No	GS0382559 - GS0382567	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	N/A			
15	████	████	██████	████/2007	██████	Extended Managing Director Agreement	No	GS0382568 - GS0382577	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
17	████	██████	██████	████/2000	██████	Extended Managing Director Agreement	No	GS0382607 - GS0382616	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
18	████	██████	██████	████/2008	██████	Extended Managing Director Agreement	No	GS0382393 - GS0382402	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
19	██████	████	██████	████/1992	██████	Extended Managing Director Agreement	No	GS0382668 - GS0382676	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	N/A			

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20	████	████	████	████2005	████	Extended Managing Director Agreement	No	GS0382677 - GS0382685	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
21	████	████	████	████/2002	████	Extended Managing Director Agreement	No	GS0382695 - GS0382703	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
22	████	████	████	████/1999	████	Extended Managing Director Agreement	No	GS0382704 - GS0382724	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
23	████	████	████	████/1997	████	Extended Managing Director Agreement	No	GS0382725 - GS0382734	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
25	████	████	████	████/2007	████	Extended Managing Director Agreement	No	GS0382753 - GS0382761	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010	N/A			

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26	████	████	████	████ 2003	████	Extended Managing Director Agreement	No	GS0382780 - GS0382789	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
27	████	████	████	████ 2009	████	Extended Managing Director Agreement	No	GS0382800 - GS0382808	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	N/A			
28	██	██████	████	██/2007	████	Extended Managing Director Agreement	No	GS0382809 - GS0382818	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/21/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
29	████	██	████	██/2005	████	Extended Managing Director Agreement	No	GS0382819 - GS0382829	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
30	████	████	████	██ 2004	████	Extended Managing Director Agreement	No	GS0382858 - GS0382867	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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31	████	██	████	██/2001	████	Extended Managing Director Agreement	No	GS0382868 - GS0382890	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
33	████	████	████	██/1998	████	Extended Managing Director Agreement	No	GS0382911 - GS0382919	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			
35	████	████████████		██/1997	████	Extended Managing Director Agreement	No	GS0382939 - GS0382947	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
37	██	████	████	██/1989	████	Extended Managing Director Agreement	No	GS0382971 - GS0382979	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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38	████	████	████	██/1993	████	Extended Managing Director Agreement	No	GS0382980 - GS0382986	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
39	████	████	████	██/2006	████	Extended Managing Director Agreement	No	GS0382996 - GS0383006	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
40	████	██	████	██/1997	████	Extended Managing Director Agreement	No	GS0383007 - GS0383015	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
41	████	████	████	██/1999	████	Extended Managing Director Agreement	No	GS0383025 - GS0383033	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
43	██	██	████	██/2002	████	Extended Managing Director Agreement	No	GS0383052 - GS0383065	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014	N/A			

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44	████	██████████████	████	████/2000	████	Extended Managing Director Agreement	No	GS0383066 - GS0383075	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
45	████	████	████	████/1997	████	Extended Managing Director Agreement	No	GS0383076 - GS0383084	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	6/19/2012	N/A			
46	████	██	████	████/2007	████	Extended Managing Director Agreement	No	GS0383094 - GS0383104	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
47	██	████	████	████/2000	████	Extended Managing Director Agreement	No	GS0383105 - GS0383113	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
48	████	████	████	██1993	████	Extended Managing Director Agreement	No	GS0383114 - GS0383122	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			

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49	████	████	████	██/1999	████	Extended Managing Director Agreement	No	GS0383133 - GS0383141	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
50	████	██████	████	██ 2004	████	Extended Managing Director Agreement	No	GS0383123 - GS0383132	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
51	██	██████	████	██ 2007	████	Extended Managing Director Agreement	No	GS0383142 - GS0383151	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
53	█	████	██████	█/2004	██████	Extended Managing Director Agreement	No	GS0383177 - GS0383187	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	N/A	N/A	N/A			
54	████	████	██████	█/2000	██████	Extended Managing Director Agreement	No	GS0383207 - GS0383216	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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55	████	██████	████	██/2001	████	Extended Managing Director Agreement	No	GS0382549 - GS0382558	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
56	████	██	████	██/1999	████	Extended Managing Director Agreement	No	GS0383227 - GS0383236	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
57	██	██	████	██ 2006	████	Extended Managing Director Agreement	No	GS0383237 - GS0383246	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
58	████	████	████	██/1998	████	Extended Managing Director Agreement	No	GS0383247 - GS0383255	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
61	██	████	████	██/1999	████	Extended Managing Director Agreement	No	GS0383331 - GS0383339	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			

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62	████	████	████	██/2004	████	Extended Managing Director Agreement	No	GS0383340 - GS0383349	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
63	████	████	████	██/1999	████	Extended Managing Director Agreement	No	GS0383350 - GS0383358	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA ") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012	N/A			
64	████	████	████	██/2000	████	Extended Managing Director Agreement	No	GS0383359 - GS0383368	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
66	████	████	████	██/1997	████	Extended Managing Director Agreement	No	GS0383405 - GS0383412	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
67	████	████	████	██/2001	████	Extended Managing Director Agreement	No	GS0383413 - GS0383422	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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68	█	█	█	█ 1997	█	Extended Managing Director Agreement	No	GS0383423 - GS0383431	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			
69	█	█	█	█ /2001	█	Extended Managing Director Agreement	No	GS0383432 - GS0383441	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
70	█	█	█	█ 1999	█	Extended Managing Director Agreement	No	GS0383442 - GS0383450	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010	N/A			
71	█	█	█	█ /2004	█	Extended Managing Director Agreement	No	GS0383451 - GS0383460	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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72	████	████	██████	████/2000	██████	Extended Managing Director Agreement	No	GS0383471 - GS0383485	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	No	8/2/2011; 6/19/2012; 1/29/2014	N/A			
73	████	████	██████	████/2000	██████	Extended Managing Director Agreement	No	GS0383486 - GS0383495	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
74	████	████████	██████	████1996	██████	Extended Managing Director Agreement	No	GS0383523 - GS0383531	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 1/29/2014; 7/15/2015	N/A			
75	████	████	██████	████/1998	██████	Extended Managing Director Agreement	No	GS0383541 - GS0383550	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	N/A			
76	██	██████	██████	██/1996	██████	Extended Managing Director Agreement	No	GS0383551 - GS0383559	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			

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77	██████	██	██████	██/2006	██████	Extended Managing Director Agreement	No	GS0383560 - GS0383570	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
78	██	██	██████	██/2006	██████	Extended Managing Director Agreement	No	GS0383571 - GS0383581	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
79	██████	██████	██████	██/1976	██████	Extended Managing Director Agreement	No	GS0383582 - GS0383590	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			
80	██	██████	██████	██2004	██████	Extended Managing Director Agreement	Yes	GS0383591 - GS0383600	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	N/A			
81	██████	██████	██████	██/2007	██████	Extended Managing Director Agreement	No	GS0383601 - GS0383609	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			

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82	████	████	████	████ 1996	████	Extended Managing Director Agreement	No	GS0383620 - GS0383628	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
83	████	████	████	████/2001	████	Extended Managing Director Agreement	No	GS0383629 - GS0383638	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	N/A			
84	████	████	████	████/2005	████	Extended Managing Director Agreement	No	GS0383639 - GS0383648	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
85	████	████	████	████ 1992	████	Extended Managing Director Agreement	No	GS0383649 - GS0383657	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
86	████	████	████	████ 1998	████	Extended Managing Director Agreement	No	GS0383658 - GS0383667	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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87	████	█	████	████ 2007	████	Extended Managing Director Agreement	No	GS0383677 - GS0383685	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
88	████	████	████	████/1998	████	Extended Managing Director Agreement	No	GS0383686 - GS0383696	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
89	████	████	████	████/2000	████	Extended Managing Director Agreement	No	GS0383697 - GS0383706	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
90	████	████	████	████ 2003	████	Extended Managing Director Agreement	No	GS0383739 - GS0383747	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
91	████	████	████	████/1998	████	Extended Managing Director Agreement	No	GS0383748 - GS0383756	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010	N/A			

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93	████	██████	████	██/2003	████	Extended Managing Director Agreement	No	GS0383776 - GS0383786	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
94	██	██████	████	██/2010	████	Extended Managing Director Agreement	No	GS0383787 - GS0383797	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
95	████	██████	████	██1998	████	Extended Managing Director Agreement	No	GS0383820 - GS0383828	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	N/A			
96	██████	██████████████		██/2007	████	Extended Managing Director Agreement	No	GS0383829 - GS0383837	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
97	████	██████	████	██/1996	████	Extended Managing Director Agreement	No	GS0383838 - GS0383844	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			

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98	████	████	████	██/2011	████	Extended Managing Director Agreement	No	GS0383845 - GS0383854	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
99	████	██	████	██ 1999	████	Extended Managing Director Agreement	No	GS0383875 - GS0383883	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA ") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
100	████	████	████	██/1994	████	Extended Managing Director Agreement	No	GS0383884 - GS0383892	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	N/A			
101	██	████	████	██/1998	████	Extended Managing Director Agreement	No	GS0383911 - GS0383926	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 4/9/2013; 1/29/2014	N/A			
103	████	██	████	██/2003	████	Extended Managing Director Agreement	No	GS0383965 - GS0383975	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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105	█	█	█	█ 1999	█	Extended Managing Director Agreement	No	GS0383976 - GS0383984	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
106	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0384014 - GS0384022	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011	N/A			
107	█	█	█	█/2009	█	Extended Managing Director Agreement	No	GS0384042 - GS0384052	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
108	█	█	█	█/2005	█	Extended Managing Director Agreement	No	GS0384053 - GS0384062	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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109	█	█	█	█/2003	█	Extended Managing Director Agreement	No	GS0384063 - GS0384072	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
111	█	█	█	█/1999	█	Extended Managing Director Agreement	No	GS0384112 - GS0384123	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	No	8/2/2011; 6/19/2012; 1/29/2014	N/A			
112	█	█	█	█/2008	█	Extended Managing Director Agreement	No	GS0384124 - GS0384134	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
114	█	█	█	█/2002	█	Extended Managing Director Agreement	No	GS0384157 - GS0384166	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
116	█	█	█	█/1996	█	Extended Managing Director Agreement	No	GS0384193 - GS0384202	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010	N/A			

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117	█	█	█	█ 2007	█	Extended Managing Director Agreement	No	GS0384221 - GS0384230	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
118	█	█	█	█ /1998	█	Extended Managing Director Agreement	No	GS0384231 - GS0384239	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
119	█	█	█	█ /2007	█	Extended Managing Director Agreement	No	GS0384240 - GS0384255	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014	N/A			
120	█	█	█	█ /2008	█	Extended Managing Director Agreement	No	GS0384289 - GS0384299	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
121	█	█	█	█ /2000	█	Extended Managing Director Agreement	No	GS0384300 - GS0384309	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			

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122	████	████	████	██/2000	████	Extended Managing Director Agreement	No	GS0384310 - GS0384319	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	N/A			
123	████	████	████	██/2001	████	Extended Managing Director Agreement	No	GS0384348 - GS0384357	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
124	████	████	████	██/2007	████	Extended Managing Director Agreement	No	GS0384371 - GS0384380	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
125	████	████	████	██/2003	████	Extended Managing Director Agreement	No	GS0384408 - GS0384417	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
126	████	████	████	██/1996	████	Extended Managing Director Agreement	No	GS0384418 - GS0384425	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
127	████	████	████	████2002	████	Extended Managing Director Agreement	No	GS0384435 - GS0384444	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014	N/A			
128	████	████	████	████/2006	████	Extended Managing Director Agreement	No	GS0384454 - GS0384464	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
129	████	████	████	████/1994	████	Extended Managing Director Agreement	No	GS0384465 - GS0384473	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
130	████	████	████	████1998	████	Extended Managing Director Agreement	No	GS0384483 - GS0384491	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			

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131	██████████	██	██████████	██/1999	██████████	Extended Managing Director Agreement	No	GS0384492 - GS0384501	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
133	██████████	██	██████████	██ 1997	██████████	Extended Managing Director Agreement	No	GS0384521 - GS0384529	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	N/A			
135	██████	██████████	██████████	██ 2005	██████████	Extended Managing Director Agreement	No	GS0384559 - GS0384568	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
136	██████	██	██████████	██/2000	██████████	Extended Managing Director Agreement	No	GS0384569 - GS0384579	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
137	██████	██	██████████	██/2005	██████████	Extended Managing Director Agreement	No	GS0384589 - GS0384604	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	N/A			

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138	█	█	█	█/1997	█	Extended Managing Director Agreement	No	GS0384622 - GS0384630	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
139	█	█	█	█1997	█	Extended Managing Director Agreement	No	GS0384631 - GS0384639	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
140	█	█	█	█/2003	█	Extended Managing Director Agreement	No	GS0390782 - GS0390794	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010	N/A			
141	█	█	█	█/2013	█	Extended Managing Director Agreement	No	GS0384640 - GS0384649	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
142	█	█	█	█2008	█	Extended Managing Director Agreement	No	GS0384650 - GS0384660	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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144	█	█	█	█/2003	█	Extended Managing Director Agreement	No	GS0384706 - GS0384715	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
145	█	█	█	█/2002	█	Extended Managing Director Agreement	No	GS0384358 - GS0384370	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	No	8/2/2011; 6/19/2012; 1/29/2014	N/A			
146	█	█	█	█/2003	█	Extended Managing Director Agreement	No	GS0384716 - GS0384725	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
147	█	█	█	█/1999	█	Extended Managing Director Agreement	No	GS0384726 - GS0384734	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
148	█	█	█	█/2000	█	Extended Managing Director Agreement	No	GS0384735 - GS0384744	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			

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150	██████	████	██████	████ 1994	██████	Extended Managing Director Agreement	No	GS0384745 - GS0384752	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
151	████	████████	██████	████/2002	██████	Extended Managing Director Agreement	No	GS0384753 - GS0384762	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
152	██████	██████████	██████	████/2002	██████	Extended Managing Director Agreement	No	GS0384763 - GS0384774	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014	N/A			
153	████	████████	██████	████ 2006	██████	Extended Managing Director Agreement	No	GS0384775 - GS0384784	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
154	████	████████	██████	████ 1997	██████	Extended Managing Director Agreement	No	GS0384785 - GS0384794	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	N/A			

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156	████	██████	████	████/2001	████	Extended Managing Director Agreement	No	GS0384803 - GS0384812	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
157	████	██████	████	████/1993	████	Extended Managing Director Agreement	No	GS0384813 - GS0384821	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
158	████	██████	████	████/2005	████	Extended Managing Director Agreement	No	GS0384822 - GS0384831	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
160	████	██████	████	████/1997	████	Extended Managing Director Agreement	No	GS0383610 - GS0383619	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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161	█	█	█	█/1999	█	Extended Managing Director Agreement	No	GS0384841 - GS0384849	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
162	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0384867 - GS0384876	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
163	█	█	█	█/2004	█	Extended Managing Director Agreement	No	GS0384888 - GS0384897	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
164	█	█	█	█/1996	█	Extended Managing Director Agreement	No	GS0385193 - GS0385202	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
167	█	█	█	█/2005	█	Extended Managing Director Agreement	No	GS0384955 - GS0384964	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
168				/2015		Extended Managing Director Agreement	No	GS0384965 - GS0384975	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	12/2/2016; 5/31/2018	N/A			
169				/2006		Extended Managing Director Agreement	No	GS0384976 - GS0384986	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
170				/2000		Extended Managing Director Agreement	No	GS0384997 - GS0385007	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
172				/2005		Extended Managing Director Agreement	No	GS0385027 - GS0385035	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	N/A			
173				/2000		Extended Managing Director Agreement	No	GS0385047 - GS0385056	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
175	██████	██████	██████	████ 2006	██████	Extended Managing Director Agreement	No	GS0385073 - GS0385085	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	6/19/2012; 1/29/2014	N/A			
176	██████	████	██████	████/1999	██████	Extended Managing Director Agreement	No	GS0385086 - GS0385094	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	N/A			
177	██████	██████████	██████	████/1999	██████	Extended Managing Director Agreement	No	GS0385095 - GS0385103	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA ") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
180	██████	██████	██████	████ 2002	██████	Extended Managing Director Agreement	No	GS0385174 - GS0385182	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
181	████	██████████	██████	████/2006	██████	Extended Managing Director Agreement	No	GS0385183 - GS0385192	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
182	████	██	████	██/1988	████	Extended Managing Director Agreement	No	GS0385224 - GS0385232	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD "). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
183	████	████	████	██/1998	████	Extended Managing Director Agreement	No	GS0385242 - GS0385250	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
184	████	██	████	██/2004	████	Extended Managing Director Agreement	No	GS0385251 - GS0385265	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
185	████	████	████	██/2010	████	Extended Managing Director Agreement	No	GS0385275 - GS0385285	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
186	████	████	████	██2007	████	Extended Managing Director Agreement	No	GS0385308 - GS0385317	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
187	█	█	█	█/1999	█	Extended Managing Director Agreement	No	GS0385318 - GS0385327	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
188	█	█	█	█/2014	█	Extended Managing Director Agreement	No	GS0385328 - GS0385338	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	7/15/2015; 12/2/2016; 5/31/2018	N/A			
189	█	█	█	█/1992	█	Extended Managing Director Agreement	No	GS0385339 - GS0385346	Subject to Section 5, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the New York Stock Exchange, Inc. ("NYSE") or if the matter is not arbitrable before the NYSE, the National Association of Securities Dealers ("NASD"). If both the NYSE and the NASD decline to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 4)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
190	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0385347 - GS0385355	Subject to Section 9 below, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A			
191	█	█	█	█/2000	█	Extended Managing Director Agreement	No	GS0385356 - GS0385364	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012	N/A			

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192	████	████	██████	████/2008	██████	Extended Managing Director Agreement	No	GS0385365 - GS0385373	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
193	██████	████	██████	████/1999	██████	Extended Managing Director Agreement	No	GS0385374 - GS0385384	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
194	████	████	██████	██/2004	██████	Extended Managing Director Agreement	No	GS0385385 - GS0385394	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
195	█████	████	██████	██/2012	██████	Extended Managing Director Agreement	No	GS0385395 - GS0385405	Subject to Sections 3.6 and 9 of this Agreement, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
196	████	██████	██████	██2004	██████	Extended Managing Director Agreement	No	GS0385425 - GS0385434	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

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#	Last	First	Employee ID	Effective Date of Hire	Operative Agreement Signed Date	Operative Agreement Type	Signed Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Prior Agreement Type	Prior Agreement Signed Date	Prior Agreement Bates	Prior Agreement Arbitration Provision
197	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0385444 - GS0385453	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
198	█	█	█	█/1998	█	Extended Managing Director Agreement	No	GS0385465 - GS0385473	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
199	█	█	█	█/2006	█	Extended Managing Director Agreement	No	GS0385474 - GS0385483	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
200	█	█	█	█/1999	█	Extended Managing Director Agreement	No	GS0385495 - GS0385504	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			
201	█	█	█	█ 2000	█	Extended Managing Director Agreement	No	GS0385505 - GS0385514	Subject to Section 9 below, and to the fullest extent permitted by law, any dispute, controversy or claim arising out of or based upon or relating to Employment Related Matters will be finally settled by arbitration in New York City before, and in accordance with the rules then obtaining of, the Financial Industry Regulatory Authority ("FINRA"). If FINRA declines to arbitrate the matter, the matter will be arbitrated before the American Arbitration Association ("AAA") in accordance with the commercial arbitration rules of the AAA. You agree that any arbitration decision and/or award will be final and binding upon the parties and may be entered as a judgment in any appropriate court. (Section 8.1)	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	N/A			

Appendix C

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PWA Agreements

	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
1	████	████	████	████ 1998	████ 2002	No	GS0385518 - GS0385520	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010	Yes	████ 2003
2	████	████	████	████ 2017	████ 2017	Yes	GS0385521 - GS0385525	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
3	████	████	████	████ 1997	████ 2002	No	GS0385529 - GS0385531	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
4	████	████	████	████ 2006	████ /2005	Yes	GS0385532 - GS0385534	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	████ 2005
5	████	████	████	████ /2011	████ 2016	No	GS0385548 - GS0385552	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ /2012
6	████	████	████	████ 2011	████ 2011	Yes	GS0385553 - GS0385557	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	8/2/2011; 6/19/2012	Yes	████ 2012

* “Effective Date of Hire” in Column D shows the most recent date the class member joined the Firm as a full-time employee and does not include hire dates for summer internships or for previous terms of employment that began and ended prior to the employee becoming a member of the class.

Appendix C
PWA Agreements

	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
7	████	████	██████	████ 2005	████ 2005	Yes	GS0393121 - GS0393123	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
8	██████	██████	██████	████ 2017	████ 2017	Yes	GS0385561 - GS0385565	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
9	██████	██████	██████	████ 2006	████ 2005	Yes	GS0385566 - GS0385568	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2006
10	██████	██████	██████	████ /1999	████ 1998	Yes	GS0390833 - GS0390835	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary in junctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
11	████	██████	██████	████ 2011	████ 2011	Yes	GS0385569 - GS0385573	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2012
12	████	████	██████	████ 2012	████ 2014	No	GS0386193 - GS0386198	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	No	████ 2013

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
13	████	██████	██████	████/2007	████2007	No	GS0385588 - GS0385590	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
14	██████	██████	██████	████2010	████2011	No	GS0385602 - GS0385606	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████2011
15	████	████	██████	████1993	████1999	No	GS0385622 - GS0385624	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████2003
16	████	██████	██████	████2017	████2017	Yes	GS0385625 - GS0385629	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
17	████	██████	██████	████2007	████2011	No	GS0385636 - GS0385640	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████2008

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
18	█	█	█	█ 2000	█ 1999	No	GS0385644 - GS0385645	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	█ 2003
19	█	█	█	█ 2002	█ 2001	Yes	GS0385646 - GS0385648	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2003
20	█	█	█	█ 2004	█ 2003	Yes	GS0385661 - GS0385663	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2005
21	█	█	█	█ 2014	█ 2014	Yes	GS0385664 - GS0385668	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	█/2015
22	█	█	█	█ 2000	█ 2006	No	GS0385669 - GS0385671	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	█ 2003

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
23	████	██	████	████ 2017	████ 2016	Yes	GS0385681 - GS0385686	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
24	████	████	████	████ 2014	████ 2014	Yes	GS0385687 - GS0385691	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2015
25	████	████	████	████ 2016	████ 2015	Yes	GS0385692 - GS0385696	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	Yes	████ 2017
26	████	████	████	████ 2015	████ 2014	Yes	GS0385610 - GS0385614	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2016
27	████	████	████	████ 2011	████ 2011	Yes	GS0385697 - GS0385701	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	████ 2012
28	████	████	████	████ 2012	████ 2011	Yes	GS0385705 - GS0385709	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
29	██████	██████	██████	██████ 1997	██████ 2001	No	GS0385716 - GS0385718	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	██████ 2003
30	██████	██████	██████	██████ 2003	██████ 2002	Yes	GS0385719 - GS0385721	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	██████ 2004
31	██████	██████	██████	██████ 2010	██████ 2009	Yes	GS0385732 - GS0385734	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	██████ 2011
32	██████	██████	██████	██████ 2014	██████ 2014	Yes	GS0385735 - GS0385739	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	N/A	N/A
33	██████	██████	██████	██████ 2014	██████ 2013	Yes	GS0385743 - GS0385748	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
34	██████	██████	██████	██████ 2002	██████ 2002	No	GS0385752 - GS0385754	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	██████ 2003

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
35	████	████	████	██/2004	████ 2005	No	GS0385763 - GS0385765	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2004
36	████	████	████	██ 2014	████ 2013	Yes	GS0385766 - GS0385771	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2015
37	████	████████	████	██ 2005	████ 2006	No	GS0386376 - GS0386378	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	████ 2004
38	████	████	████	██ 2010	████ 2009	Yes	GS0385775 - GS0385777	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2011
39	██	████	████	██ 2011	████ 2014	No	GS0385782 - GS0385784	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
40	████	████	████	██ 2005	████ 2007	No	GS0385791 - GS0385793	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	████ 2006

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
41	████	██████	██████	████ 2010	████ 2012	No	GS0385794 - GS0385799	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2012
42	██████	██████	██████	████ 2009	████ 2011	No	GS0385806 - GS0385810	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2003
43	██████	██████	██████	████ 2001	████ 2000	Yes	GS0385811 - GS0385813	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
44	████	██████	██████	████ 2008	████ 2007	Yes	GS0385814 - GS0385816	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
45	██	███	██████	████ 2016	████ 2016	Yes	GS0385817 - GS0385821	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
46	████	███	██████	████ 2017	████ 2017	Yes	GS0385832 - GS0385836	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
47	████	██	████	████ 2016	████ 2015	Yes	GS0385840 - GS0385844	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
48	██	██████	████	████ 2012	████/2016	No	GS0385848 - GS0385852	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
49	████	██	████	████ 2017	████ 2016	Yes	GS0385853 - GS0385858	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
50	████	████	████	████ 2008	████ 2012	No	GS0385859 - GS0385864	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 6/19/2012; 1/29/2014	Yes	████ 2012
51	██	████	████	████ 2016	████ 2016	Yes	GS0385870 - GS0385874	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016	Yes	████ 2017
52	██	██	████	████ 2000	████ 1999	Yes	GS0385875 - GS0385878	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
53	████	██████	████	████ 2010	████ 2010	No	GS0385879 - GS0385882	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	████/2011
54	████	██	██████	████ 2018	████/2018	Yes	GS0393124 - GS0393128	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
55	████	██████	██████	████ 2016	████ 2015	Yes	GS0385892 - GS0385896	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
56	██████	██████	██████	████ 2016	████/2015	Yes	GS0385900 - GS0385904	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
57	████	██████	██████	████ 2010	████ 2010	Yes	GS0385905 - GS0385906	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	Yes	████ 2011
58	████	██████	██████	████ 2004	████ 2003	Yes	GS0386460 - GS0386462	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	Yes	████ 2005

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
59	████	██████	██████	████ 1998	████ 1998	Yes	GS0385907 - GS0385909	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary in junctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
60	████	██████	██████	████ 2017	████ 2016	Yes	GS0385910 - GS0385914	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
61	████	████	██████	████ 2009	████ 2016	No	GS0385915 - GS0385919	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
62	██████	██████	██████	████ 2010	████ 2009	Yes	GS0385925 - GS0385927	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	████ 2011
63	██████	████	██████	████ 1997	████ 2001	No	GS0385931 - GS0385933	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
64	████	████	██████	████ 2001	████ 2000	Yes	GS0385934 - GS0385936	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
65	████	████	██████	████ 2018	████ 2018	Yes	GS0393129 - GS0393133	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
66	████	██████	██████	████ 2010	████ 2009	Yes	GS0385937 - GS0385939	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	████ 2010
67	██████	████	██████	████ 2014	████ 014	Yes	GS0385940 - GS0385945	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016	Yes	████ 2015
68	██████	████	██████	████ 2014	████ 2013	Yes	GS0385946 - GS0385951	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	Yes	████ 2015

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69	█	█	█	█ 2001	█ 2000	Yes	GS0385952 - GS0385954	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2003
70	█	█	█	█ 2007	█ 2007	Yes	GS0385955 - GS0385957	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	█ 2008
71	█	█	█	█ 2016	█ 2016	Yes	GS0385958 - GS0385962	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	Yes	█ /2017
72	█	█	█	█ 2013	█ 2013	Yes	GS0386427 - GS0386427	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	1/29/2014	N/A	N/A
73	█	█	█	█ 2009	█ 2008	Yes	GS0385966 - GS0385968	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	█ 2010

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
74	████	██████	██████	████ 2018	████ 2018	Yes	GS0393134 - GS0393138	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
75	██	████	██████	████ 2007	████ 2016	No	GS0385969 - GS0385973	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	9/20/2010; 8/2/2011; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2008
76	████	██████	██████	████ 2012	████ 2014	No	GS0386289 - GS0386292	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
77	████	██████	██████	████ 1997	████ 2001	No	GS0385990 - GS0385993	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
78	████	██████	██████	████ 2015	████ 2014	Yes	GS0385994 - GS0385998	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2016

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
79	██████	██████	██████	██████ 2015	██████ 2017	No	GS0386005 - GS0386009	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	12/2/2016; 5/31/2018	No	██████ 2016
80	██████	██████	██████	██████ 2014	██████ 2014	Yes	GS0386010 - GS0386014	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	Yes	██████ 2015
81	██████	██████	██████	██████ 2008	██████ 2007	Yes	GS0386015 - GS0386017	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
82	██████	██████	██████	██████ 2000	██████ 1999	Yes	GS0386043 - GS0386044	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	██████ 2003
83	██████	██████	██████	██████ 2010	██████ 2010	Yes	GS0386045 - GS0386047	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011	Yes	██████ 2011

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84	████	██████	████	████ 2014	████ 2016	No	GS0386048 - GS0386052	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	7/15/2015; 5/31/2018	No	████ 2015
85	████	██████	████	████ 2014	████/2013	Yes	GS0386058 - GS0386062	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2015
86	████	█████	████	████ 2008	████ 2007	Yes	GS0386076 - GS0386078	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	1/29/2014	N/A	N/A
87	████	██████	████	████ 1988	████ 1999	No	GS0386084 - GS0386084	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████/2003
88	████	████	████	████ 2015	████ 2014	Yes	GS0386086 - GS0386090	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	N/A	N/A

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89	████	████	████	████ 2000	1/27/2000	Yes	GS0386099 - GS0386101	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2003
90	████	██	████	████ 2014	11/22/2013	Yes	GS0386110 - GS0386115	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	Yes	████ 2015
91	████	██	████	████ 2004	10/10/2005	No	GS0385596 - GS0385598	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012; 4/9/2013	No	████ 2003
92	████	██	████	████ 2014	8/24/2017	No	GS0386144 - GS0386148	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	7/15/2015; 12/2/2016; 5/31/2018	No	████ 2015
93	████	██	████	████ 2018	7/9/2018	Yes	GS0393147 - GS0393151	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
94	████	██	████	████ 2012	11/30/2011	Yes	GS0386149 - GS0386153	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2013

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95	██████	██████	██████	████ 2015	████/2014	Yes	GS0386154 - GS0386158	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016	Yes	████ 2016
96	████	████	██████	████ 2000	████ 1999	Yes	GS0386159 - GS0386162	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
97	████	██████	██████	████ 2005	████ 2015	No	GS0386171 - GS0386175	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 12/2/2016	No	████ 2006
98	████	██████	██████	████ 2016	████ 2015	Yes	GS0386176 - GS0386180	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
99	██████	██████	██████	████ 2009	████ 2010	No	GS0386181 - GS0386183	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012	Yes	████ 2010

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100	████	██████	██████	████ 1999	████ 1999	Yes	GS0386184 - GS0386184	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
101	████	██████	██████	████ 2000	████ 2000	Yes	GS0386190 - GS0386192	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003
102	██████	██████	██████	████ 2014	████ 2013	Yes	GS0386202 - GS0386207	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016	Yes	████ 2015
103	████	██████	██████	████ 2006	████ 2010	No	GS0385883 - GS0385888	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2007
104	██████	██████	██████	████ 1999	████ 2010	No	GS0386208 - GS0386210	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ /2010

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105	████	████	██████	████1984	████2001	No	GS0386219 - GS0386224	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████2003
106	████	██████	██████	████/2009	████2008	Yes	GS0385785 - GS0385787	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011	Yes	████/2010
107	████	████	██████	████2000	████2004	No	GS0386233 - GS0386235	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████2003
108	████	██████	██████	████2017	████2016	Yes	GS0386241 - GS0386244	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
109	████	██████	██████	████2015	████2014	Yes	GS0386245 - GS0386249	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████2016
110	████	████	██████	████2015	████2014	Yes	GS0386250 - GS0386254	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████2016

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111	████	██████	██████	████ 2018	████ 2018	Yes	GS0396140 - GS0396144	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
112	██████	██████	██████	████ 1999	████ 2005	No	GS0386091 - GS0386093	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	████ 2006
113	████	██████	██████	████ 2008	████ 2009	No	GS0386255 - GS0386257	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
114	████	██████	██████	████ 2008	████ 2007	Yes	GS0386264 - GS0386266	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2009
115	████	██████	██████	████ 2000	████ 2006	No	GS0385897 - GS0385899	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	████/2003
116	████	██████████████		████ 1999	████ 2003	No	GS0385928 - GS0385930	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003

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117	████	██████	██████	████ 2016	████ 2015	Yes	GS0386270 - GS0386274	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
118	████	██████	██████	████ 2010	████ 2010	Yes	GS0386275 - GS0386277	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2010
119	██████	██████	██████	████ 1994	████ 2007	No	GS0386281 - GS0386283	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	No	████ 2003
120	████	██████	██████	████ 2015	████ 2014	Yes	GS0386284 - GS0386288	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016	Yes	████ 2016
121	████	██████	██████	████ 2006	████ 2006	Yes	GS0386296 - GS0386299	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2007
122	██████	██████	██████	████ 2016	████ 2015	Yes	GS0386300 - GS0386304	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017

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123	█	█	█	█ 2006	█ 2006	Yes	GS0386316 - GS0386325	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█/2007
124	█	█	█	█/2002	█ 2001	Yes	GS0386329 - GS0386331	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2003
125	█	█	█	█ 2016	█ 2015	Yes	GS0386332 - GS0386336	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
126	█	█	█	█ 2015	█ 2015	Yes	GS0386337 - GS0386341	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	█ 2016
127	█	█	█	█/1982	█ 1999	No	GS0386342 - GS0386344	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2003
128	█	█	█	█ 2015	█ 2015	Yes	GS0386345 - GS0386349	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A

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129	████	████	██████	████ 2009	████ 2012	No	GS0386355 - GS0386360	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	████ 2012
130	████	██████	██████	████ 2000	████ 2003	No	GS0386361 - GS0386363	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
131	██	██████	██████	████ 1997	████ 2000	No	GS0385633 - GS0385635	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
132	████	██████	██████	████ 2010	████ 2010	Yes	GS0386379 - GS0386381	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2011
133	██████	██████	██████	████/1999	████ 1999	Yes	GS0386382 - GS0386384	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A

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134	████	████	████	████ 2011	████ 2010	Yes	GS0385827 - GS0385831	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Agreement predates filing of Complaint	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2012
135	████	████	████	████ 2008	████ 2007	Yes	GS0386385 - GS0386387	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2009
136	████	████	████	████ 2007	████ 2007	Yes	GS0386391 - GS0386393	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2008
137	████	████	████	████ 2010	████ 2016	No	GS0386394 - GS0386399	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	No	████/2012
138	████	████	████	████ 2000	████/1999	Yes	GS0386400 - GS0386402	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
139	████	████	████	████ 2010	████ 2009	Yes	GS0386413 - GS0386415	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010;	Yes	████ 2010

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
140	████	████	████	████ 1997	████ 2000	No	GS0386416 - GS0386418	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003
141	████	████	████	████ 2016	████ 2015	Yes	GS0386422 - GS0386426	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
142	████	████	████	████ 2015	████ 2015	Yes	GS0386428 - GS0386433	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016	Yes	████ 2016
143	████	████	████	████ 1999	████ 2000	No	GS0386434 - GS0386436	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003
144	████	████	████	████ 2010	████ 2009	Yes	GS0386437 - GS0386439	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2011

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145	██████	██████	██████	████ 2000	████ 1999	Yes	GS0386440 - GS0386441	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2003
146	████	██████	██████	████ 1992	████ 2003	No	GS0386457 - GS0386459	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003
147	██	██████	██████	████ 2005	████ 2016	No	GS0386463 - GS0386467	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	8/2/2011; 6/19/2012; 1/29/2014; 12/2/2016; 5/31/2018	No	████ 2012
148	██████	██████	██████	████ 1996	████ 2002	No	GS0386468 - GS0386473	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
149	██████	██	██████	████ 2010	████ 2014	No	GS0386474 - GS0386476	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012

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#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
150	█	█	█	█ 2016	█ 2015	Yes	GS0386477 - GS0386481	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	█ 2017
151	█	█	█	█ 2006	█ 2006	Yes	GS0386482 - GS0386484	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	█ 2007
152	█	█	█	█ 2017	█ 2016	Yes	GS0386485 - GS0386490	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	N/A	N/A
153	█	█	█	█ 1994	█ 2001	No	GS0386491 - GS0386493	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
154	█	█	█	█ 2010	█ 2016	No	GS0386497 - GS0386501	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	█ 2012
155	█	█	█	█ 2012	█ 2011	Yes	GS0385727 - GS0385731	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	4/9/2013	N/A	N/A

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156	████	████	████	████ 1986	████ 2001	No	GS0386502 - GS0386507	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2003
157	████	████	████	████ 2018	████ 2018	Yes	GS0393152 - GS0393156	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
158	██	████████████████		████ 2007	████ 2006	Yes	GS0386513 - GS0386515	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	8/2/2011; 6/19/2012	Yes	████ 2009
159	██	████	████	████ 2007	████ 2007	Yes	GS0386516 - GS0386518	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
160	████	████	████	████ 1999	████ 2000	No	GS0386519 - GS0386521	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	N/A	N/A
161	████	████	████	████ 2011	████ 2011	Yes	GS0386647 - GS0386651	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████/2012

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162	████	██	██████	████ 2013	████ 2017	No	GS0386525 - GS0386529	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	5/31/2018	No	████ 2014
163	██████	██████	██████	████ 2011	████ 2010	Yes	GS0386530 - GS0386534	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Agreement predates filing of Complaint	8/2/2011; 6/19/2012	Yes	████ 2012
164	██	██████	██████	████ 2015	████ 2015	Yes	GS0386535 - GS0386539	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015	Yes	████/2016
165	██	██████	██████	████ 2016	████ 2016	No	GS0386543 - GS0386547	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████/2017
166	██████	██████	██████	████ 2015	████ 2014	Yes	GS0386548 - GS0386552	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2016
167	██████	██	██████	████ 2011	████ 2010	Yes	GS0386556 - GS0386560	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Agreement predates filing of Complaint	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	████ 2012

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	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
168	████	████████	██████	████ 2009	████ 2008	Yes	GS0386002 - GS0386004	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2010
169	████	██	██████	████ 2018	████ 2018	Yes	GS0393157 - GS0393161	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
170	████	██████	██████	████ 2014	████ 2014	Yes	GS0385591 - GS0385595	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016	Yes	████ 2014
171	██████	████████	██████	████ 2004	████ /2016	No	GS0386569 - GS0386573	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
172	████	██████	██████	████ 2005	████ 2005	No	GS0386590 - GS0386592	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2006
173	████	████████	██████	████ 2004	████ 2003	Yes	GS0385558 - GS0385560	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2005

Appendix C
PWA Agreements

	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
174	██████	████	██████	████ 1998	████ 1998	No	GS0386593 - GS0386593	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary in junctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003
175	██████	████	██████	████ 2016	████ 2015	Yes	GS0386594 - GS0386598	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
176	██████	██████	██████	████ 2009	████ 2016	No	GS0386600 - GS0386604	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	Yes	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	No	████ 2012
177	██████	██████	██████	████ 2006	████ 2006	Yes	GS0386605 - GS0386609	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2007
178	██████	████	██████	████ 1998	████ 1998	No	GS0386599 - GS0386599	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary in junctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 9)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003

Appendix C
PWA Agreements

	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
179	████	██	████	████ 2011	████ 2011	Yes	GS0386610 - GS0386614	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	8/2/2011; 6/19/2012; 1/29/2014	Yes	████ 2012
180	████	██	████	████ 2009	████ 2008	Yes	GS0386615 - GS0386617	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2010
181	████	██	████	████ 2012	████ 2011	Yes	GS0386618 - GS0386627	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2013
182	██	████	████	████ 1999	████ 1999	No	GS0386628 - GS0386630	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2003
183	████	██	████	████ 1997	████ 1999	No	GS0386634 - GS0386636	Any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed, except you agree to submit to the jurisdiction of and agree that venue is proper in the federal and state courts located in the State of New York, New York County, in connection with any application for temporary or preliminary injunctive relief concerning the breach or threatened breach of this Agreement. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 10)	Agreement predates filing of Complaint	Agreement predates filing of Complaint	Yes	████ 2003

Appendix C
PWA Agreements

	A	B	C	D	E	F	G	H	I	J	K	L
#	Last	First	Employee ID	Effective Date of Hire*	Operative Agreement Signed Date	Signed Operative Agreement Before Hire Date?	Operative Agreement Bates	Operative Agreement Arbitration Provision	Hold Notice Before Operative Agreement?	Hold Notice Dates	Signed Operative Agreement Before First Performance Review?	First Performance Review Date Since 2003
184	████	████	██████	████ 2015	████ 2014	Yes	GS0386637 - GS0386641	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	7/15/2015; 12/2/2016; 5/31/2018	Yes	████ 2016
185	████	████	██████	████ 2016	████ 2015	Yes	GS0386642 - GS0386646	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	No	12/2/2016; 5/31/2018	Yes	████ 2017
186	████	██████	██████	████/2018	████ 2018	Yes	GS0393162 - GS0393166	Except as otherwise provided in § 7(a), any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 7(b))	N/A	N/A	N/A	N/A
187	████	████	██████	████ 2010	████ 2009	Yes	GS0386655 - GS0386657	Except as otherwise provided in paragraph 10, any dispute or claim arising out of, based upon or relating in any way to this Agreement, or to your employment at Goldman Sachs or the termination of your employment, will be settled and finally determined by arbitration. Any such arbitration will be conducted in New York City before the American Arbitration Association or any other organization referred to in the arbitration provisions of any uniform application for Securities Industry Registration you have signed. You also agree that any arbitration award may be entered as a judgment in any appropriate court and that this Agreement is enforceable by the particular Goldman Sachs entity with whom you are employed as well as by an affiliate thereof as a third party beneficiary. (Section 11)	Agreement predates filing of Complaint	9/20/2010; 8/2/2011	Yes	████ 2011

Appendix D

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
1	████	██████	████	██/2004	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	██████
2	██████	██	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████
3	██	██	██████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
4	██████	██████	████	██/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	██████
5	██	██	██████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
6	████	██████	██████	██/2014	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	8/17/2017	██	\$221.42	██████
7	██████	██████	████	██/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
8	████	████	████	██/2013	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignatureCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015; 12/2/2016	Yes	6/15/2016	██	\$146.16	████
9	████	██	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
10	██	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
11	████	████	████	██/2013	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	██	\$231.41	████
12	████	██	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
13	████	██	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
14	██████	██████	██████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██████	\$250.97	██████
15	████	████	██████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	██████
16	██████	██████	██████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
17	████	████	██████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
18	██████	████	██████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
19	████	████	██████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
20	████	█	████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
21	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	4	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
22	████	████	████	██/2014	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	█	\$221.42	████
23	████	█	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
24	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
25	█	████	████	██/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████

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26				/2014	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
27				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
28				/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
29				/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
30				/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
31				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 5/31/2018	Yes	1/18/2018		\$250.97	
32				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
33				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/18/2018		\$250.97	
34				/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
35				/2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017		\$221.42	
36				/1996	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/21/2016		\$151.65	
37				/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018		\$250.97	
38				/2017	2017_MW_RSU_Agreement	GS0395849 - GS0395865	9	GS0396120 - GS0396125	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017_OT_Signature_Card.pdf	23	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	11/14/2017		\$237.24	
39				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

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40	████	██████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
41	██	██████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
42	██████	██	██████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
43	████	████	██████	██/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
44	██████	████	██████	██/2013	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
45	████	██████	████	██/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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46	████	████	████	██/2006	2017YE_RSU_3YR_NC_Agreement	GS0395915 - GS0395934	12	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
47	████	████	████	██/2005	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/19/2017	██	\$231.41	████
48	████	████	████	██/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
49	████	████	████	██/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
50	██	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
51	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
52	█	█	████	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
53	████	████████	████	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
54	█	████	████	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	████
55	████	████████	████	█/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
56	█	████████	████	█/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	█	\$250.97	████
57	█	████████	████	█/2003	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/21/2016	█	\$151.65	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
58	████	████	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	██	\$250.97	████
59	████	████	████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
60	████	████	████	██/2006	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 7/15/2015; 12/2/2016	Yes	1/21/2016	██	\$151.65	████0
61	████	████	████	██/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
62	████	████	████	██/2016	2017_BroadBased AwardAgreement	GS0395813 - GS0395829	7	GS0395237 - GS0395242	Paper		1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	9/15/2017	██	\$225.22	████
63	████	████	████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
64	██	████	████	██/2013	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/19/2017	██	\$231.41	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
65	████	██████	██████	████/2012	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	████	\$151.65	██████
66	████	██████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
67	████	██████	██████	████/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
68	████	██████	██████	████/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
69	██████	██████	██████	████/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
70	██████	████	██████	████/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████

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Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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71				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
72				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
73				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
74				/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
75				/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
76				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
77	████	██████	██████	███/2004	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	██████
78	██████	██	██████	███/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 12/2/2016	Yes	1/18/2018	██	\$250.97	██████
79	██	████	██████	███/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
80	██	██████	██████	███/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	██████
81	██	████	██████	███/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
82	████	██	██████	███/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████

Appendix D
Equity Award Agreements

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83	████	██████	██████	████/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
84	██████	████	██████	████/2014	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	████	\$221.42	██████
85	██████	████	██████	████/2012	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	████	\$231.41	██████
88	████	████	██████	████/2012	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	████	\$231.41	██████
89	████	████	██████	████/2015	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/19/2017	████	\$231.41	██████
86	████	████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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87	████	████	████	██/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
90	████	████	████	██/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
91	████	████	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
92	████	████	████	██/2013	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf		1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	██	\$231.41	████
93	████	████	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
94	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
95	████	██████	██████	████/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
96	████	██████	██████	████/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
97	████	██████	██████	████/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
98	████	████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018	████	\$250.97	██████
99	██████	██████	██████	████/2016	2017_BroadBased AwardAgreement	GS0395813 - GS0395829	7	GS0396100 - GS0396105	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_BroadBasedSignCard.pdf	20	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	9/15/2017	████	\$225.22	██████
100	████	████	██████	████/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016	████	\$151.65	██████
101	████	██████	██████	████/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
102				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
103				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
104				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
105				/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
106				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	12/2/2016	No	6/15/2016		\$146.16	
107				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
108				/2011	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
109				/1989	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
110				/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
111				/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
112				/1997	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018		\$250.97	
113				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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Equity Award Agreements

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114	████	████████	████	████ 2005	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	████	\$231.41	████
115	████	████	████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
116	████	████████	████	████/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016	████	\$146.16	████
117	████	████	████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
118	██████	████████	████	████/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
119	████	████	████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
120	████	████	████	██/1995	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
121	████	████	████	██/1995	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
122	████	████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	██	\$250.97	████
123	████	████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
124	████	████	████	██/2006	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	████
125	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
126	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
127	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
128	████	████	████	██/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
129	████	████	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
130	████	████	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
131	████	████	████	██/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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132	████	████	██████	████/2012	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	████	\$231.41	██████
133	████	██████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
134	████	██████	██████	████/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
135	████	██████	██████	████/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
136	████	██████	██████	████/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
137	████	██████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
138	████	████	████	██/1990	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
139	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
140	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
141	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
142	████	████	████	██/2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	██	\$221.42	████
143	████	████	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
144	████	██████	████	██/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
145	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
146	████	████	██████	██/2008	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
147	████	██████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
148	████	██████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
149	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
150				/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
151				/2009	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
152				/2009	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/19/2017		\$231.41	
153				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
154				/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/18/2018		\$250.97	
155				/2015	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017		\$221.42	

Appendix D
Equity Award Agreements

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156				/2013	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/19/2017		\$231.41	
157				/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 1/29/2014; 12/2/2016	Yes	1/19/2017		\$231.41	
158				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
159				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
160				/1997	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
161				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
162				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
163				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
164				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	
165				/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
166				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
167				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

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168				/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
169				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
170				/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012	Yes	1/18/2018		\$250.97	
171				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	
172				/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016		\$151.65	
173				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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Equity Award Agreements

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174				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
175				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
176				/2012	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
177				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012	Yes	1/18/2018		\$250.97	
178				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
180				/2002	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396086 - GS0396093	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_Asia_NonCompete.pdf	19	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014	Yes	1/19/2017		\$231.41	

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179	█	█	█	█/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
181	█	█	█	█/2006	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/19/2017	█	\$231.41	█
182	█	█	█	█/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
183	█	█	█	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
184	█	█	█	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█
185	█	█	█	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
186				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
187				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
188				2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
189				2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
190				/2009	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
191				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
192				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	7

Appendix D
Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
193	██████	██████	██████	████/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
194	██████	██████	██████	████/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
195	██████	██████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
198	██████	██████	██████	████/2007	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	██████
196	██████	██████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
197	██████	██████	██████	████/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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199				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
200				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
201				2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
202				/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396042 - GS0396048	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_Asia_NonCompete.pdf	15	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
203				/1997	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
204			491	/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
205	████	██████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
206	████	████	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
207	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	██	\$250.97	████
208	████	████	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
209	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
210	█	██████	████	██/2001	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
211				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
212				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014	Yes	1/18/2018		\$250.97	
213				/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
214				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
215				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
216				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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Equity Award Agreements

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217	████	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
218	████	████	████	██/2010	2017YE_CodeStaff_ST_RSU_AIFMD_UCITS_Agreement	GS0395899 - GS0395914	13	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
219	████	████████	████	██/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
220	████	████████	████	██/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
221	██	████████	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
222	██	████	██████	██/2014	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016	██	\$146.16	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
223	████	████	████	████/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	████	\$250.97	████
224	████	████	████	████/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
225	████	████	████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
226	██	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	████
227	██	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	████
228	████	████	████	████2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
229				/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015	Yes	1/19/2017		\$231.41	
230				2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018		\$250.97	
231				/2012	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017		\$221.42	
232				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
233				/2006	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
234				/2001	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
235				/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
236	████	████	████	█/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
237	█	████	████	█/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
238	█	████	████	█/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
239	█	████	████	█/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
240	████	████	████	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
241	████	████	████	█/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████

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242	█	█	████	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
243	████	████	████	█/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
244	████	█	████	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	████
245	█	████	████	█/2015	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	█	\$231.41	████
246	█	████	████	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	████
247	████	████	████	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
248	█	█	█	█/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	█	\$151.65	█
249	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
250	█	█	█	█/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
251	█	█	█	█/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	█	\$250.97	█
252	█	█	█	█/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	█	\$250.97	█
253	█	█	█	█ 1995	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
254	█	█	█	█/2009	2015YE_RSU_Agreement_Australia	GS0395633 - GS0395652	3	GS0396042 - GS0396048	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_Asia_NonCompete.pdf	15	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014	Yes	1/21/2016	█	\$151.65	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
255	████	██	██████	████/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
256	████	██████	██████	████/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
257	████	██████	██████	██/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
258	████	██████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
259	████	██████	██████	████/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	1/21/2016	████	\$151.65	██████
260	████	████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
261	████	██████	████	████ 2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
262	████	██████	████	████ /2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
263	████	████	████	████ 2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
264	████	████	████	████ /2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
265	████	██████	████	████ /2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
266	████	████	████	████ /2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
267	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
268	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	██	\$250.97	████
269	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
270	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
271	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/21/2010; 8/2/2011; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
272	████	████	████	██/1995	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

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273	████	████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
274	████	██████	██████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
275	██████	████	██████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
276	████	████	██████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
277	████	██████	██████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
278	██████	██	██████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████

Appendix D
Equity Award Agreements

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279				/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
280				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
281				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
282				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
283				/1997	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
284				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	

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285	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	██	\$250.97	████
286	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
287	████	████	████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
288	████	████	████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
289	████	████	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
290	████	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
291	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
292	██	██████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
293	████	████	████	██/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
294	██	██████	████	██ 2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
295	████	████	████	██/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
296	████	██	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
297	█	█	█	█/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
298	█	█	█	█ 2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
299	█	█	█	█/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 4/9/2013; 1/29/2014	Yes	1/18/2018	█	\$250.97	█
300	█	█	█	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/18/2018	█	\$250.97	█
301	█	█	█	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█
302	█	█	█	█/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	█	\$250.97	█

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304	█	█	█	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█
305	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
306	█	█	█	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
307	█	█	█	█/2002	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
308	█	█	█	█/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
309				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018		\$250.97	
310				/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016		\$151.65	
311				/1994	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
312				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
313				/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
314				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
315	█	█	█	█/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
316	█	█	█	█/2003	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396086 - GS0396093	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_Asl_a_NonCompete.pdf	19	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/19/2017	█	\$231.41	█
317	█	█	█	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	█	\$250.97	█
318	█	█	█	█/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█
319	█	█	█	█/1986	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
320	█	█	█	█/2005	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
321	█	█	█	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█
322	█	█	█	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
323	█	█	█	█/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
324	█	█	█	█/2005	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
325	█	█	█	█/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	12/2/2016; 5/31/2018	No	6/15/2016	█	\$146.16	█
326	█	█	█	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
327	█	█	█	█ 2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

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Equity Award Agreements

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328	████	████████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
329	████	████████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
330	████	██	████	██/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
331	████	████████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
332	████	████████	████	██/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
333	████	████████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
334	█	█	█	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
341	█	█	█	█/2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396112 - GS0396119	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card_Asia.pdf	22	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	8/17/2017	█	\$221.42	█
335	█	█	█	█/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
336	█	█	█	█/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
337	█	█	█	█/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
338	█	█	█	█/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
339	█	██████	██████	████/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018	████	\$250.97	██████
340	█	██████	██████	█/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018	████	\$250.97	██████
342	████	██████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
343	██████	████	██████	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
344	████	██████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
345	████	████████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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Equity Award Agreements

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346				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
347				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	
348				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
350				/2007	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
349				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016		\$146.16	
352				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016		\$146.16	
351				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
353	█	█	█	█/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018	█	\$250.97	█
354	█	█	█	█/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
355	█	█	█	█/2015	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
356	█	█	█	█/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	█	\$151.65	█
357	█	█	█	█/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
358	Lin	█	█	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_Asl_a_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014	Yes	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

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359				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0397158 - GS0397163	Paper		3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
362				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016_BroadBasedSignatureCard.pdf	16	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	6/15/2016		\$146.16	
360				/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
361				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
363				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018		\$250.97	
364				2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018		\$250.97	2

Appendix D
Equity Award Agreements

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365	████	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
366	██	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
367	██	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
368	██	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	██	\$250.97	████
369	██	████	████	██/2007	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	████
370	█	████	████	██/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
371	█	█	██████	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████
372	██████	█	██████	█/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████
373	██████	██████	██████	█/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014	Yes	1/19/2017	█	\$231.41	██████
374	█	██████	██████	█/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████
375	█	█	██████	█/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████
376	██████	██████	██████	█/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012	Yes	1/18/2018	█	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
377				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
378				/2014	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
379				/1998	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
380				/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
381				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
382				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
383				/2013	2016_Mid-Year_RSU_Agreement	GS0395670 - GS0395688	5	GS0396067 - GS0396072	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_Mid-Year_Sign_Card.pdf	17	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	8/16/2016		\$165.65	

Appendix D
Equity Award Agreements

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384	████	████	██████	████/2011	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
385	██████	██████	██████	████/2014	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
386	████	██████	██████	████/2002	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	██████
387	████	██████	██████	████/2010	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
388	██████	██████	██████	████/1996	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
389	████	██████	██████	████/2011	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	██████

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Equity Award Agreements

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390				/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
391				/1993	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0395314 - GS0395319	Paper		3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
392				/2008	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017		\$231.41	
393				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
394				/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
395				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
396				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
397				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
398				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
399				/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
400				/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
401				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

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402	██████	██████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
403	██████	████	██████	████/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
404	████	████	██████	████/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
405	████	██████	██████	████/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
406	██████	██████	██████	████/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
407	████	████	██████	████/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
408	████	████	██████	████/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	████	\$250.97	████████
409	████	██████	██████	████/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
410	████	████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
411	██████	████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
414	████	██████	██████	████/2010	2015YE_RSU_Agreement_Australia	GS0395633 - GS0395652	3	GS0396042 - GS0396048	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_Asia_NonCompete.pdf	15	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/21/2016	████	\$151.65	████████
412	████	██████	██████	████/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████

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413	████	██████	██████	████/2015	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
415	████	██████	██████	████/2007	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
416	██████	██████	██████	████/2009	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
417	████	██████	██████	████/2008	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	██████
418	████	████	██████	████/2013	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
419	████	██████	██████	████/2003	2017YE_RSU_Agreement	GSO395935 - GSO395953	10	GSO396134 - GSO396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
420	████	████	████	████/2012	2016_Mid-Year_RSU_Agreement	GS0395670 - GS0395688	5	GS0395334 - GS0395339	Paper		1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	8/16/2016	████	\$165.65	████
421	████	████████	████	████/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
422	████	████	████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
423	████	████	████	████ 1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
424	████	████	████	████/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	12/2/2016; 5/31/2018	No	6/15/2016	████	\$146.16	████
425	████	████	████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	████
426	████	████████r	████	████/2012	2017YE_RSU_Agreement_Australia	GS0395954 - GS0395973	11	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 12/2/2016	Yes	1/18/2018	████	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
427	████	████	████	██/1985	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
428	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
429	████	████	████	██ 2014	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016	██	\$146.16	████
430	████	████████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
431	██	████	██████	██ 2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
432	████	████████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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433	████	████	████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
434	████	████	████	████/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018	████	\$250.97	████
435	██	████	████	████/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	████	\$250.97	████
436	██	██████	████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
437	████	██	████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
438	████	██████	████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████

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Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
439				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
440				/2006	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
441				/2009	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
442				/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
443				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018		\$250.97	
444				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

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445	████	████	████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
446	████	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
447	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
448	████	████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
449	████	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
450	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████

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451				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
452				/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
453				/2004	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
454				/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
455				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
456				/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	

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458	████	████	████	██/2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
459	████	████	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
460	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
461	████	██	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
462	████	████████	████	██/1997	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/21/2010; 8/2/2011	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
463	████	████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
464	████	██████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
465	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
466	████	██████	████	██/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
467	████	██████	████	██/1992	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
468	████	██████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

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469				/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016		\$151.65	
470				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/18/2018		\$250.97	
471				/2005	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/19/2017		\$231.41	
472				2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
473				/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
474				2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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Equity Award Agreements

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475				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
476				/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
477				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018		\$250.97	
478				/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 1/29/2014; 5/31/2018	Yes	1/18/2018		\$250.97	
479				/2003	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017		\$231.41	
480				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018		\$250.97	
481				/2008	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/19/2017		\$231.41	

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
482	████	██████	████	██/2006	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
483	████	██	████	██/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
484	████	██████	████	██/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	██	\$151.65	████
485	██	██████	████	██/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
486	██████	██	████	██/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
487	████	██	████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
488				/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
489				/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
490				/2011	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/19/2017		\$231.41	
491				/2014	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016		\$151.65	
492				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
493				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
494				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
495				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
496				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
497				/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016		\$151.65	
498				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
499				/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
501				/1998	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/21/2016		\$151.65	

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500				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018		\$250.97	
502				/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/21/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018		\$250.97	
503				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
504				/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
505				/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
506				/2016	2017_BroadBased AwardAgreement	GS0395813 - GS0395829	7	GS0396100 - GS0396105	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_BroadBasedSignCard.pdf	20	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	9/15/2017		\$225.22	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
507	█	█	█	█/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
508	█	█	█	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
509	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	█	\$250.97	█
510	█	█	█	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
511	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
512	█	█	█	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015	Yes	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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513	█	█	█	█/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
514	█	█	█	█/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016	Yes	1/18/2018	█	\$250.97	█
515	█	█	█	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
516	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	█	\$250.97	█
517	█	█	█	█/2012	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	█	\$221.42	█
518	█	█	█	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
519	█	█	█████	█/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 5/31/2018	Yes	1/18/2018	█	\$250.97	█████
520	█	█████	█████	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█████
521	█████	█████	█████	█/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018	█	\$250.97	█████
522	█████	█████	█████	█/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█████
523	█████	█████	█████	█/2009	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	█	\$231.41	█████
524	█	█████	█████	█ 2010	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	█	\$231.41	█████
525	█████	█████	█████	█/1999	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	█	\$151.65	█████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
526				/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
527				/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
528				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
529			919	2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
530				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
531				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
532	████	██████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
533	██	████	████	██ 2007	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	████
534	████	██	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
535	████	██████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
536	████	██████	████	██/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
537	██████	██	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
538	██	██	████	██/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	1/29/2014	Yes	1/21/2016	██	\$151.65	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
539				/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
540				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	4	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
541				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	6/15/2016		\$146.16	
543				/2016	2017_BroadBased AwardAgreement	GS0395813 - GS0395829	7	GS0396100 - GS0396105	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_BroadBasedSignCard.pdf	20	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	9/15/2017		\$225.22	
542				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
544				2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017		\$221.42	
545				/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

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Equity Award Agreements

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546	████	████	████	██/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
547	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
548	████	████	████	██/2007	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
549	████	██	████	██/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/21/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
550	████	████	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
551	██	████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
552	████	████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
553	████	████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	████████
554	████	████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	████████
555	██████	██████	██████	████/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	████	\$151.65	████████
556	██████	██████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
557	████	██████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
558	████	██	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
559	██	████████	████	██/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
560	██████	██	████	██/2015	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	██	\$221.42	████
562	██	██	████	██/1994	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/19/2017	██	\$231.41	████
561	██	████████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
564	████	████████	████	██/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	████
563	████	████████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
565	█	█	█	█/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016	█	\$151.65	█
566	█	█	█	█/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016	█	\$146.16	█
567	█	█	█	█/2009	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 7/15/2015	Yes	1/21/2016	█	\$151.65	█
568	█	█	█	█/2002	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
569	█	█	█	█/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
570	█	█	█	█/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
571	█	█	█	█/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
572	████	██████	████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
573	████	██████	████	████/2005	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
574	████	████	██████	████/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
575	████	████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
576	██████	████	██████	████ 2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
577	██████	██████	████	████/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
578	████	██████	██████	████/2005	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	████	\$151.65	██████
579	████	██████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
580	████	██████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
581	████	██████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
582	██████	████	████7945	████/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	1/21/2016	████	\$151.65	██████
583	████	████	██████	████/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
584	██████	████	██████	████/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016	████	\$151.65	██████

Appendix D
Equity Award Agreements

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585	████	████	██████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
586	██████████	██████████	██████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
587	████	████	██████	████ 2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014	Yes	1/18/2018	████	\$250.97	██████
588	████	████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
589	██████████	██████████	██████	████/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	████	\$250.97	██████
590	████	██████████	██████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

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591				/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018		\$250.97	
592				/2012	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
593				/2001	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
594				/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 5/31/2018	Yes	1/18/2018		\$250.97	
595				/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
596				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

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597	████	████	████	██/2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396126 - GS0396133	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asia_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014	Yes	1/18/2018	██	\$250.97	████
598	████	████	████	██/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	████
599	████	████	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
600	████	████	████	██/2014	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
601	████	██	████	██/2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	██	\$221.42	████
602	██	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

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#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
603	████	████████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
604	████	████████	████	██/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
605	████	████████	████	██/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014	Yes	1/18/2018	██	\$250.97	████
606	██	██	██████	██/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
607	██	████████	████	██/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
608	████	████████	████	██/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

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609	████	████	████	██/1986	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
610	████	█	████	██/2009	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 7/15/2015; 12/2/2016	Yes	1/19/2017	██	\$231.41	████
611	████	████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	██	\$250.97	████
612	████	████	████	██1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
613	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
614	████	██	████	██2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
615	████	████	████	██/1983	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
616	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
617	██	████	████	██/2005	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 4/9/2013; 1/29/2014	Yes	1/19/2017	██	\$231.41	████
618	████	████	████	██/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
619	██	████	████	██ 2006	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	████
620	████	████	████	██/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
621	████	████	████	██/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
622	████	████	████	██/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0395358 - GS0395358	Paper		1	Arbitration provision not in copy of agreement; based on timing of execution and standard form in use, arbitration clause likely to be: I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters").	9/20/2010; 4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	████
623	████	████	████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	4	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
624	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012	Yes	1/18/2018	██	\$250.97	████
625	████	████	████	██/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	████
626	████	████	████	██2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012	Yes	1/18/2018	██	\$250.97	████
627	████	████	████	██/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	1/21/2016	██	\$151.65	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
628				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
629				/1999	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
630				/1996	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
631				/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
632				/2000	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016		\$151.65	
633				/2009	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 5/31/2018	Yes	1/18/2018		\$250.97	
635				/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016		\$151.65	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
634	████	████	████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
637	████	████	████	████ 2014	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016	████	\$151.65	████
638	████	████	████	████/2009	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/21/2016	████	\$151.65	████
639	████	████	████	████/2013	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	8/17/2017	████	\$221.42	████
636	████	████	████	████/2000	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010	Yes	1/18/2018	████	\$250.97	████
640	████	████	████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
641	████	████	████	████/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	N/A	N/A	1/21/2016	████	\$151.65	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
642	████	██████	██████	████/2006	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016YE_SignCard_General.pdf	18	2	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/19/2017	████	\$231.41	██████
643	████	██████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
644	████	████	██████	████/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
645	████	██████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/18/2018	████	\$250.97	██████
646	████	██████	██████	████/2011	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	4/9/2013; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	██████
647	████	██████	██████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
648	████	██████	██████	████/2012	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	8/17/2017	████	\$221.42	██████

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Equity Award Agreements

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649	████	██████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
650	██████	████	██████	██/2014	2016YE_RSU_Agreement	GS0395774 - GS0395792	6	GS0396094 - GS0396099	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2016YE_SignCard_General.pdf	18	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016	Yes	1/19/2017	██	\$231.41	██████
651	████	██████	██████	██/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	██████
652	████	██████	██████	██/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	██████
653	██████	██████	██████	██/2010	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	██	\$151.65	██████
654	██████	████	██████	██ 1989	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
655	████	██████	██████	██/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████

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656	████	████	████	████/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
657	████	████	████	████/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	4/9/2013; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
658	████	████	████	████/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
659	████	████	████	████/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016	Yes	1/18/2018	████	\$250.97	████
660	████	████	████	████/2007	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████
661	████	████	████	████/2000	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
662				/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018		\$250.97	
663				/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
664				/1998	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
666				/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	5/31/2018	No	6/15/2016		\$146.16	
665				/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018		\$250.97	
667				/2013	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_Asi_a_NonCompete.pdf	25	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015	Yes	1/18/2018		\$250.97	

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
668	█	█	█	█/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
669	█	█	█	█/2012	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	█	\$250.97	█
670	█	█	█	█/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
671	█	█	█	█/2016	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
672	█	█	█	█/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█
673	█	█	█	█/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	█

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Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
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674	████	████	██████	████/2013	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	7/15/2015	Yes	1/21/2016	████	\$151.65	████████
675	████	████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
676	████	████	██████	████/2004	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
677	████	████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
678	████	████	██████	████/2015	2016_BroadBased AwardAgreement	GS0395653 - GS0395669	4	GS0396061 - GS0396066	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2016_BroadBasedSignCard.pdf	16	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	12/2/2016; 5/31/2018	No	6/15/2016	████	\$146.16	████████
679	████	████	██████	████/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	████████
680	████	████	██████	████ 2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	1/18/2018	████	\$250.97	████████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
681	████	█	██████	████/2008	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	3	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015	Yes	1/21/2016	████	\$151.65	██████
682	████	████	██████	████/2017	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	████	\$250.97	██████
683	████	████	██████	████/2008	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
684	████	████	██████	████/2014	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
685	████	█	██████	████/2011	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	6/19/2012; 1/29/2014; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████
687	████	████	██████	████/2007	2015YE_RSU_Agreement	GS0395614 - GS0395632	2	GS0396055 - GS0396060	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2015YE_SignCard_General.pdf	14	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"). (Page 1)	9/20/2010; 6/19/2012; 1/29/2014	Yes	1/21/2016	████	\$151.65	██████
686	████	████	██████	████/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuoption/AwardDocuments/2017YE_SignCard_General.pdf	24	2	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	12/2/2016; 5/31/2018	Yes	1/18/2018	████	\$250.97	██████

Appendix D
Equity Award Agreements

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
#	Last	First	Employee ID	Effective Date of Hire	Operative Equity Award Agreement Title	Equity Award Agreements Bates Range	Dias Exhibit # (Equity Award Agreements)	Signature Card Bates Range	Signature Card URL	Dias Exhibit # (Signature Card) (or Giuffra Exhibit # where noted)	Number of Signature Cards	Equity Award Agreement Arbitration Provision	Hold Notice Dates	Hold Notice before Operative Equity Agreement?	Grant Date	Number of Stock Units	Fair Market Value (FMV) as of Grant Date	(FMV) x (Number of Stock Units) (M x N)
689	████	████	██████	███/2014	2017_Mid-Year_RSU_Agreement	GS0395830 - GS0395848	8	GS0396106 - GS0396111	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017_Mid-Year_Sign_Card.pdf	21	1	I understand and acknowledge that I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	N/A	N/A	8/17/2017	██	\$221.42	██████
688	████	██████	██████	███/2015	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	██████
690	███	████	██████	███/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	5/31/2018	No	1/18/2018	██	\$250.97	██████
691	███	████	██████	███/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	1	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	7/15/2015; 12/2/2016	Yes	1/18/2018	██	\$250.97	██████
692	██████	███	██████	███/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████
693	████	████	██████	███/2010	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	██	\$250.97	██████

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694	█	██████	██████	████/2003	2017YE_RSU_Agreement	GS0395935 - GS0395953	10	GS0396134 - GS0396139	https://hcm.web.gs.com/wealth/hrcomp/rsuooption/AwardDocuments/2017YE_SignCard_General.pdf	24	3	I understand and acknowledge that, under the SIP and the applicable Award Agreement(s), I am agreeing to arbitrate all claims relating to the SIP in accordance with the arbitration procedure set forth in the SIP and the applicable Award Agreement(s). If I am employed in the U.S. or if I am otherwise subject to U.S. Federal, State, or local employment laws, I further agree to arbitrate, in accordance with the SIP-related arbitration procedure and to the fullest extent permitted by law, all claims arising out of or relating to my employment with the Firm or the termination thereof, or otherwise concerning any rights, obligations or other aspects of my employment relationship with the Firm (collectively, "Employment-Related Matters"); provided that nothing herein shall preclude me from filing a charge with or participating in any investigation or proceeding conducted by any governmental authority, including but not limited to the U.S. Securities and Exchange Commission and the Equal Employment Opportunity Commission. (Page 1)	9/20/2010; 8/2/2011; 6/19/2012; 1/29/2014; 7/15/2015; 12/2/2016; 5/31/2018	Yes	1/18/2018	█	\$250.97	██████